



**Office of the Director  
Arizona Department of Insurance**

100 North 15<sup>th</sup> Avenue, Suite 261, Phoenix, AZ 85007-2630

Phone: (602) 364-3100 | Web: <https://difi.az.gov>

---

**Douglas A. Ducey, Governor**

**Evan G. Daniels, Director**

September 1, 2020

The Honorable Douglas A. Ducey  
Governor  
Arizona State Capitol, 9th Floor  
1700 West Washington Street  
Phoenix, AZ 85007

Re: FY 2022 Budget - Department of Insurance and Financial Institutions

Governor Ducey:

Included with this letter is the expenditure detail and budget request for Fiscal Year 2022 by the Arizona Department of Insurance and Financial Institutions (DIFI). As you know, what respectively used to be the Arizona Department of Insurance, the Arizona Department of Financial Institutions, and the Arizona Automobile Theft Authority consolidated into a single agency on July 1, 2020. As that agency's new director, I am honored to serve Arizona in leading DIFI forward, and I am grateful for the progress towards becoming one team already made through agency staff's dedication to serving our great state and its people. We will continue this effort as we seek to provide certainty for Arizona's insurance and financial services sectors, protect Arizona's consumers from unfair and deceptive practices, and perform our responsibilities with efficiency and integrity.

Thank you for the opportunity to present this budget request. DIFI will continue to invest the resources entrusted to the agency to make Arizona a great place to work, live, build, and play.

Respectfully,

Evan G. Daniels  
Director

# ARIZONA

DEPARTMENT OF  
INSURANCE AND FINANCIAL INSTITUTIONS

## Agency Budget Request for Fiscal Year 2022

REVISED 9/24/2020



**Evan G. Daniels**  
Director

---

# ARIZONA NA

## DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

### Fiscal Year 2022 Budget Request (CORRECTED 9/24/2020)

**Transmittal Statement..... 1**

**Revenues, Sources and Uses ..... 2**

Fund	Revenue Schedule	Sources and Uses of Funds
1000: General Fund	2	N/A
1991: Auto Theft SIMS Settlement	7	29
1997: Mortgage Recovery Fund	8	30
1998: Financial Services Fund	20	31
2000: Federal Grants Fund	N/A	32
2034: Insurance Examiners Revolving Fund	12	33
2060: Automobile Theft Authority Fund	14	34
2126: Banking Department Revolving	16	35
2163: Insurance Department Fingerprinting Fund	18	36
2316: Assessment Fund for Voluntary Plans	N/A	37
2377: Captive Insurance Regulatory and Supervision Fund	19	38
2467: Health Care Appeals Fund	21	39
2473: Financial Surveillance Fund	23	40
2500: IGA and ISA Fund	N/A	41
2975: Title VI – Coronavirus Relief Fund	25	42
3023: Receivership Revolving Fund (Financial Services)	26	43
3104: Insurance Receivership Liquidation Fund	27	44
3727: Insurance Premium Tax Clearing Fund	28	45

**Funding Issues..... 46**

**Summary of Expenditures and Budget Request ..... 63**

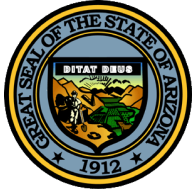
Selected Fund	Page
1000: General Fund	66
1991: Auto Theft SIMS Settlement	67
1998: Financial Services Fund	68
2034: Insurance Examiners Revolving Fund	69
2060: Automobile Theft Authority Fund	70
2126: Banking Department Revolving	71
2316: Assessment Fund for Voluntary Plans	72
2377: Captive Insurance Regulatory and Supervision Fund	74
2467: Health Care Appeals Fund	75
2473: Financial Surveillance Fund	76
2500: IGA and ISA Fund	77
2975: Title VI – Coronavirus Relief Fund	78
3023: Receivership Revolving Fund (Financial Services)	79
3104: Receivership Liquidation Fund	80

**Program Summary of Expenditures and Budget Request..... 82**

<b>Report</b>	<b>Page</b>
Program 1: Policy and Administration	83
Program 2: Solvency Regulation	98
Program 3: Consumer Support	124
• Mental Health Parity	149
Program 4: Fraud Investigation and Deterrence	157
Program 5: Licensing	173
Program 6: Automobile Theft Authority	187
• SLI-ATA Reimbursable Programs	199
• SLI-ATA Vehicle Theft Task Force	206
• SLI-ATA Local Grants	213

**Administrative Costs..... 220**

**Organization Chart ..... 221**



# State of Arizona Budget Request

State Agency

## Department of Insurance Financial Institutions

A.R.S. Citation: § 20-101

**Governor DUCEY:**

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2022.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Evan G. Daniels**

Title: **Director**

Evan G. Daniels 9/24/2020  
(signature)

Phone: **(602) 364-3764**

Prepared By: **Scott B. Greenberg**

Email Address: **Scott.Greenberg@difi.az.gov**

Date Prepared: **Thursday, September 24, 2020**

**Appropriated Funds**

	FY 2021 Approp	FY 2022 Fund. Issue	FY 2022 Total Budget
<b>Total Amount Requested:</b>	17,628.4	133.4	17,761.8
General Fund	8,090.7	(250.0)	7,840.7
Financial Services Fund	4,157.4	0.0	4,157.4
Automobile Theft Authority Fund	5,330.0	433.7	5,763.7
Banking Department Revolving	50.3	(50.3)	0.0

**Non-Appropriated Funds**

	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Budget
<b>Total Amount Planned:</b>	4,394.0	158.9	4,552.9
Auto Theft SIMS Settlement	1.4	(1.4)	0.0
Insurance Examiners Revolving Fund	2,816.1	(695.6)	2,120.5
Banking Department Revolving	204.3	50.3	254.6
Assessment Fund for Voluntary Plans Fund	0.0	0.0	0.0
Captive Insurance Regulatory and Supervision Fund	412.0	0.0	412.0
Health Care Appeals Fund	256.6	0.0	256.6
Financial Surveillance Fund	549.8	805.6	1,355.4
IGA and ISA Fund	0.0	0.0	0.0
Title VI - Coronavirus Relief Fund	0.0	0.0	0.0
Receivership Revolving Fund	10.6	0.0	10.6
Insurance Receivership Liquidation Fund	143.2	0.0	143.2
<b>Total:</b>	22,022.4	292.3	22,314.7

## Revenue Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>AA1000 General Fund</b>

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4192	INSURANCE PREMIUM TAX	535,327.0	523,032.7	510,538.5
4312	EXAMINATION FEES	987.8	889.1	987.8
4314	FILING FEES	538.4	538.4	538.4
4332	OTHER EDUCATION FEES	34.2	34.2	34.2
4339	OTHER FEES AND CHARGES FOR SERVICES	1,769.7	1,800.9	1,800.9
4372	PUBLICATIONS AND REPRODUCTIONS	6.0	4.0	4.0
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	14,767.4	15,011.5	15,462.3
4417	REGULATORY LICENSES	570.8	570.8	570.8
4419	OTHER LICENSES	(27.5)	0.0	0.0
4449	OTHER FEES	3,669.6	3,278.1	3,278.1
4512	RESTITUTION	23.4	0.0	0.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	408.2	371.2	371.2
4645	CREDIT CARD DISCOUNT FEES PAID	(30.0)	(30.7)	(31.9)
4647	CREDIT CARD PROCESSING FEES PAID	(12.3)	(12.6)	(13.1)
4699	MISCELLANEOUS RECEIPTS	47.4	47.4	47.4
4829	PRIOR YEAR REVENUE ADJUSTMENTS	4,157.7	0.0	0.0
<b>Fund Total:</b>		562,237.8	545,535.0	533,588.6



**FUND 1000 (GENERAL FUND)**

**NEW FOR FY 2022**

[Laws 2020, Chapter 37](#), § 26 revised ARS § 20-167, eliminating the requirement that the Department annual adjust its fee schedule to collect between 95% and 110% of the prior-year appropriation. The director may determine the fees to charge within the ranges provided in ARS § 20-167.

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

**4192 – Insurance Premium Tax**

FY 2020 actuals were relatively close overall to the estimate for FY 2020 (see **Figure 1**). Line item variances were particularly noticeable with regard to the projection for credits and offsets that insurers were expected to apply against their tax liabilities, and the forecast for AHCCCS coverage.

Estimates for FY 2021 and FY 2022 assume no significant changes to insurance premium volume or retaliation. Our forecast represents our best estimates based upon prior-year trending with static future premium growth. How quickly Arizona and the country recover from current conditions will impact aggregate premium volume and tax revenues for each type (or “line”) of insurance.

For tax credits, the forecast assumes insurers will continue to take increasing advantage of school tuition organization credits (\$8 million/year increase) and guaranty fund offsets (2.5 million in CY 2020/FY2021 and 2.9 million in CY 2021/FY 2022).

**Figure 1: Summary of Actual and Estimated Premium Tax Collections (in millions)**

LINE OF INSURANCE (Tax Rate CY19, CY20, CY21)	FY 2020 Estimate	FY 2020 Actuals	FY 2021 Estimate	FY 2022 Estimate
Life Insurance (1.80%, 1.75%, 1.70%)	\$43.6	\$45.8	\$44.5	\$43.2
Accident and Health (2.0% all years)	110.7	110.5	110.5	110.5
AHCCCS Contractors (2.0% all years)	221.3	242.6	250.0	260.0
Fire (2.2% or 0.66% all years)	19.7	19.4	19.5	19.5
Vehicle (2.2312%, 2.1812%, 2.1312%)	141.0	137.2	134.1	131.0
Other Property and Casualty (1.80%, 1.75%, 1.70%)	62.1	65.5	63.7	61.9
Surplus Lines Fire (3.0% all years)	2.6	2.7	2.7	2.7
Other Surplus Lines / Industrial Insureds (3.0% all years)	17.4	18.3	18.3	18.3
Retaliation	11.8	11.6	11.9	12.2
Tax Credits	-49.0	-65.3	-75.8	-86.7
Cash Flow Adjustment**	0.1	-7.7	-11.0	-14.2
<b>TOTAL Fiscal Year Revenues</b>	<b>\$581.3</b>	<b>\$580.6</b>	<b>\$568.4</b>	<b>\$558.4</b>
Distribution to DPS Retirement	-27.3	-26.5	-26.5	-26.5
Distributions to Municipal Fire Districts	-18.9	-18.8	-18.9	-18.9
<b>General Fund</b>	<b>\$535.1</b>	<b>\$535.3</b>	<b>\$523.0</b>	<b>\$513.0</b>

\*\* *Cash flow adjustment* reflects timing differences between tax liability and tax payments within the year.

## Tax Rates

Per Laws 2015, Chapter 220 (HB 2568), the tax rate applicable to non-health, non-fire premiums written by Arizona-authorized insurers (hereinafter referred to as “base insurance premium tax”) is annually reducing from its previous 2.00% level by 0.05% starting in Calendar Year 2016 until it reaches 1.70% for Calendar Year 2021. The tax rate applicable to Calendar Year 2019 tax liability and Fiscal Year 2020 revenue was 1.80%.

ARS § 20-224 prescribes two tax rates applicable to fire insurance sold by insurance companies – 0.66% for insurance on property located in incorporated cities or towns that procure private firefighting services and 2.2% for insurance on property located elsewhere. ARS § 20-224(J) defines fire insurance to include ●100% of fire (“FI”) lines, ●40% of commercial multiple peril non-liability (“CMP-P”) lines, ●35% of homeowners’ multiple peril (“HO”) lines, ●25% of farmowners’ multiple peril (“FO”) lines, and ●20% of allied lines (“AL”). The State Treasurer transfers 85% of fire insurance premium taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund.

Health insurance premiums and AHCCCS contractor capitation are taxed at 2.00%

Arizona has two premium taxes that (both) apply to vehicle insurance– the base insurance premium tax (ARS § 20-224), which is deposited to the General Fund, and a 0.4312% additional tax (ARS § 20-224.01), which goes to the Department of Public Safety Personnel Retirement System.

Surplus lines brokers and industrial insureds pay tax on all premiums they procure, including those allocable to fire risk, at a 3% tax rate pursuant to ARS § 20-416. Pursuant to ARS § 20-416(G) and 20-224(C), surplus lines brokers consider 85% of their fire and allied lines insurance policies to be fire insurance premiums. The State Treasurer transfers 85% of fire insurance surplus lines taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund.

## Fiscal Year 2021 and 2022 Cash Flows

The following chart shows the tax payment due dates and the amounts anticipated to be remitted on or about those dates.

Due Date	Description	FY 2021 Forecast	FY 2022 Forecast
Jul 15	Installment payment (15% of prior CY tax liability X 99.2% to account for insurers with tax liabilities < \$50,000)	\$45,834,800	\$43,355,900
Aug 15	Installment payment	45,834,800	\$43,355,900
Aug 15	Tax on surplus lines insurance procured January through June (includes fire tax) (46.7% of annual total based on FY 2020)	9,799,200	9,799,200
Sep 15	AHCCCS contractor taxes (25.3% of annual total based on FY 2020)	63,250,000	65,780,000
Dec 15	AHCCCS contractor taxes (26.8% of annual total based on FY 2020)	67,000,000	69,680,000
Feb 15	Tax on surplus lines insurance procured July through December (includes fire tax) (53.3% of annual total based on FY 2020)	11,184,100	11,184,100
Mar 1	CY tax liability less CY installment payments	20,481,900	19,315,100
Mar 1	Retaliation (includes title insurers)	11,900,000	12,200,000
Mar 15	AHCCCS contractor taxes (24.4% of annual total based on FY 2020)	61,000,000	63,440,000
Mar 15	Installment payment (15% of current CY tax liability for insurers with tax liability >= \$50,000)	43,355,900	39,776,000
Apr 15	Installment payment	43,355,900	39,776,000
May 15	Installment payment	43,355,900	39,776,000
Jun 15	Installment payment	43,355,900	39,776,000
Jun 15	AHCCCS contractor taxes (23.5% of annual total based on FY 2020)	58,750,000	58,750,000
	<b>TOTAL IPT COLLECTIONS</b>	<b>\$568,458,400</b>	<b>\$555,964,200</b>
May 31	Transfer to DPSRS per ARS § 20-224.01	\$26,507,400	\$26,507,400
Jun 30	Distribution to fire districts per ARS § 9-951	18,918,300	18,918,300
Jun 30	General Fund	523,032,700	510,538,500
	<b>TOTAL IPT DISBURSEMENTS:</b>	<b>\$568,458,400</b>	<b>\$555,964,200</b>



### 4312 – Examination Fees

Our estimate assumes financial enterprise examination activity and billings will be 10% lower in FY 2021 due to the impacts of the coronavirus health crisis on our regulated entities and then increase to the FY 2020 level in FY 2022.

### 4314 – Filing Fees

Assessments being levied on state-chartered banks and credit unions pursuant to ARS § 6-126(A) will total \$1,794.4 in FY 2021 and we expect will remain predominantly the same in FY 2022.

### 4339 – Other Fees and Charges for Services

Our estimate assumes filing fees for insurer annual statements, charter file amendments and service of process will not be substantially different in FY 2021 and FY 2022 than they were in FY 2020.

### 4415 – Occupational and Professional Licensing

Our estimate assumes the number of newly issued insurance and financial-enterprise licenses and financial-enterprise license renewals will remain the same in FY 2021 and will increase by 2% in FY 2022. Insurance professional licenses renew every four years, and a different number of licenses come up for renewal in each four-year cycle. For each class of license, we determined the percentage that renewed during FY 2020, and applied that percentage to the number of each class of license eligible for renewal in FY 2021 and FY 2022

#### Financial Enterprise License and Renewal Revenue:

- **FY 2020 Actual and FY 2021 Estimate:** \$3,686.4 thousand.
- **FY 2022 Estimate (+2.0%):** \$3,760.4 thousand

#### New Insurance License Revenue:

- **FY 2020 Actual and FY 2021 Estimate:** \$6,269.1 thousand
- **FY 2022 Estimate (+2.0%):** \$6,394.5 thousand

**Insurance License Renewal Revenue - FY 2020 Actual:** \$4,811.0 thousand

**Insurance License Renewal Revenue - FY 2021 Estimate:** \$5,056.0 thousand

LICENSE CLASS	RENEWAL ELIGIBLE	EST. % TO RENEW	UNIT FEE	TOTAL REVENUE
Adjuster	4,286	61.3%	120	315,350
Bail Bond Agent	37	51.9%	120	2,302
Insurance Producer	64,203	53.3%	120	4,108,386
Life Settlement Broker	48	40.5%	500	9,730
Portable Electronics Vendor	19	100.0%	120	2,280
Risk Management Consultant	6	50.0%	120	360
Self Service Storage Agent	24	50.0%	120	1,440
Surplus Lines Broker	892	68.3%	1000	609,036
Title Agent	84	70.8%	120	7,140
<b>Grand Total</b>	<b>69,599</b>	<b>54.1%</b>		<b>5,056,024</b>

**Insurance License Renewal Revenue – FY 2022 Estimate: \$5,381.4 thousand**

<b>LICENSE CLASS</b>	<b>RENEWAL ELIGIBLE</b>	<b>EST. % TO RENEW</b>	<b>UNIT FEE</b>	<b>TOTAL REVENUE</b>
Adjuster	4,681	61.3%	120	344,413
Bail Bond Agent	49	51.9%	120	3,049
Insurance Producer	68,450	53.3%	120	4,380,153
Life Settlement Broker	36	40.5%	500	7,297
Portable Electronics Vendor	9	100.0%	120	1,080
Risk Management Consultant	1	50.0%	120	60
Self Service Storage Agent	36	50.0%	120	2,160
Surplus Lines Broker	934	68.3%	1000	637,713
Title Agent	64	70.8%	120	5,440
<b>Grand Total</b>	<b>74,260</b>	<b>54.1%</b>		<b>5,381,365</b>

**4417 – Regulatory Licenses**

Our estimate assumes no significant change to revenue from FY 2020 during FY 2021 and FY 2022.

**4449 – Other Fees**

Our estimates assumes all “other fees” will remain the same in FY 2021 and FY 2022 except for insurance fraud assessments.

**IMPORTANT GENERAL FUND REVENUE FORECAST ASSUMPTION**

Estimates for **fraud assessment revenue** assume that ARS § 20-466(J), which was amended by Laws 2020, Ch. 37, § 53, will require the Department to decrease the per-insurer assessment amount from the \$1,050 maximum to \$650. The law requires the director to “...assess each insurer as defined in section 20-441, subsection B authorized to transact business in this state up to \$1,050, as annually adjusted pursuant to this subsection for the administration and operation of the fraud unit and the prosecution of fraud pursuant to this section.” In previous years, policymakers enacted session law that prevented the Department from changing the per-insurer assessment. Policymakers enacted no such legislation in 2020, which means the Department will be required to adjust the assessment amount, resulting in a \$700-thousand revenue reduction, unless one of the following occurs.

1. The Department is appropriated additional funding for the Fraud Unit, thereby requiring the Department adjust the assessment to match the increased appropriation.
2. Policymakers enact legislation with an emergency clause and an effective date prior to July 1, 2021, that negates the provision of ARS § 20-466(J) that requires the Department to annually adjust the assessment amount, and requires the Department to continue to assess each insurer \$1,050.

During FY 2020, the Department collected \$1,805,700 in fraud assessment revenue. For FY 2021, the Department’s Fraud Unit budget is \$1,115,800, causing FY 2020 revenues to be 162% of the Department’s FY 2020 Fraud Unit budget.

**4519 – Other Fines or Forfeitures or Penalties**

The number and size of fines, forfeitures and penalties are impossible to predict. Projections for FY 2020 and FY 2021 assume penalty revenue will be substantially similar to the \$293,300 received in FY 2019.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
----------------	--

<b>Fund:</b>	ID1991 Auto Theft SIMS Settlement
--------------	-----------------------------------

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	0.2	0.0	0.0
4901	OPERATING TRANSFERS IN	0.0	0.0	0.0
<b>Fund Total:</b>		0.2	0.0	0.0

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID1997 Mortgage Recovery Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4519	OTHER FINES OR FORFEITURES OR PENALTIES	3.0	0.0	0.0
4612	RESTRICTED DONATIONS	210.4	210.4	210.4
4631	TREASURERS INTEREST INCOME	43.3	43.3	43.3
<b>Fund Total:</b>		256.7	253.7	253.7



## **FUND 1997 (MORTGAGE RECOVERY FUND)**

---

Loan originators pay an additional fee prescribed by the director pursuant to ARS § 9-991.10 when applying for an original loan originator license. If the balance remaining in the fund is less than \$2 million as of June 30, loan originators must pay an additional fee determined by the director when renewing their licenses. The balance of the fund exceeded \$2 million as of June 30; therefore, loan originators are not being required to pay the additional fee when renewing a license.

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### **4612 – Restricted Donations**

The Department of Financial Institutions used this revenue source code to record the additional fee from loan originator licensing. We do not expect a material change to the amount during the current or next fiscal year.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID1998 Financial Services Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	2,998.9	2,998.9	2,998.9
4449	OTHER FEES	2,473.7	2,473.7	2,473.7
4519	OTHER FINES OR FORFEITURES OR PENALTIES	59.3	59.3	59.3
<b>Fund Total:</b>		5,531.9	5,531.9	5,531.9



---

## **FUND 1998 (FINANCIAL SERVICES FUND)**

---

This fund consists of loan originator license, license-update and license-renewal fees. The Department receives an appropriation from the fund that is considerably less than the revenue received during each year. The cash balance of the fund at the beginning of Fiscal Year 2020 was \$9,147.9 thousand. Annual revenues into the fund are approximately \$5.5 million, and the Department's annual appropriation from the fund is around \$3.7 million.

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### **4415 – Occupational and Professional Licenses**

We do not expect a material change to the amount during the current or next fiscal year.

### **4449 – Other Fees and Charges for Services**

We do not expect a material change to the amount during the current or next fiscal year.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2034 Insurance Examiners Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4312	EXAMINATION FEES	2,959.9	2,959.9	2,959.9
<b>Fund Total:</b>		2,959.9	2,959.9	2,959.9





## FUND 2034 (INSURANCE EXAMINERS' REVOLVING FUND)

---

This fund consists of fees charged to insurance companies and other insurance entities that undergo examination by the Department to recoup the pre-examination selection and preparation costs, examination costs, post-examination costs and other such costs of evaluations of compliance required by law. ARS § 20-156(D).

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

### 4312 – Examination Fees

We are estimating revenue in this fund to remain at the FY 2020 level during the current and next fiscal years.

#### **IMPORTANT NOTICE**

The Department's authority to use the Insurance Examiners' Revolving Fund ("IERF") to recoup *indirect costs* terminates on December 31, 2021, as a result of changes to ARS § 20-142(D) and other statutes in Title 20 made by Laws 2020, Chapter 37 (SB 1293). If an examined entity's financial condition renders it unable to pay examination expenses, the balance of the IERF will reduce. Additionally, the IERF will no longer be able to be used to pay for other common administrative (indirect) costs allocated to the Department, and in particular, portions of agency costs that policymakers designate must be paid from non-appropriated funding sources, such as rent, allocations of Arizona Financial Information System ("AFIS") costs, allocations of Attorney General's Office costs, *et al.*

## Revenue Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
----------------	---

<b>Fund:</b>	<b>ID2060 Automobile Theft Authority Fund</b>
--------------	---

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4339	OTHER FEES AND CHARGES FOR SERVICES	6,743.0	6,743.0	6,743.0
4631	TREASURERS INTEREST INCOME	102.8	102.8	102.8
4901	OPERATING TRANSFERS IN	0.0	0.0	0.0
<b>Fund Total:</b>		6,845.8	6,845.8	6,845.8



---

## **FUND 2060 (AUTOMOBILE THEFT AUTHORITY FUND)**

---

The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.

### **4339 – Other Fees and Charges for Services**

Arizona's insurance industry supports the Arizona Automobile Theft Authority (AATA) through a semi-annual assessment of fifty cents (\$0.50) for each private passenger automobile insured for liability in this state. During the past ten years, the revenue collected from assessments has annually increased by an average of 2.46%,

Anticipating the impacts of the current national and state coronavirus health crises, our estimates assume no significant change to assessment revenues in the current and next fiscal years.

### **4631 – Interest on Investments with State Treasurer**

The Department does not expect any significant change in the current or next fiscal year in the amount of interest earned on the amount held by the State Treasurer in its investment account.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2126 Banking Department Revolving

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4519	OTHER FINES OR FORFEITURES OR PENALTIES	296.4	266.8	266.8
4699	MISCELLANEOUS RECEIPTS	3.5	0.0	0.0
<b>Fund Total:</b>		299.9	266.8	266.8



---

## **FUND 2126 (BANKING DEPARTMENT REVOLVING FUND)**

---

This fund consists of recovered investigation costs, attorney fees and civil penalties as a result of actions brought pursuant to Title 6, recovered through settlements, judgments or otherwise. ARS § 6-135(B). The amount exceeding \$200 thousand as of June 30 reverts to the Receivership Revolving Fund (Fund BD3023).

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### **4519 – Occupational and Professional Licenses**

We are estimating a 10% decrease to revenue in this fund for FY 2021 and expect revenue to remain at that level in FY 2022.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2163 Insurance Department Fingerprinting Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4339	OTHER FEES AND CHARGES FOR SERVICES	48.7	(75.6)	0.0
<b>Fund Total:</b>		48.7	(75.6)	0.0

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
----------------	--

<b>Fund:</b>	ID2377 Captive Insurance Regulatory and Supervision Fund
--------------	--

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4419	OTHER LICENSES	686.8	750.3	777.8
<b>Fund Total:</b>		686.8	750.3	777.8



---

## **FUND 2377 (CAPTIVE INSURANCE REGULATORY AND SUPERVISION FUND)**

---

This fund consists of captive insurer licensing, renewal and license amendment fees pursuant to ARS § 20-1098.18.

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### **4419 – Other Licenses**

For Fiscal Year 2021, we estimate that revenue will increase by \$76.5 thousand, consisting of \$71.5 thousand from renewals of 13 FY-2020-licensed captive insurers plus \$5.0 thousand under an assumption that 5 new captives will license during Fiscal Year 2021.

For Fiscal Year 2022, we estimate will increase by \$32.5 thousand, consisting of \$27.5 thousand from renewals of 5 FY-2021-licensed captive insurers plus \$5.0 thousand under an assumption that 5 new captives will license during Fiscal Year 2022.



## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2467 Health Care Appeals Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4339	OTHER FEES AND CHARGES FOR SERVICES	106.4	138.0	138.0
4449	OTHER FEES	162.6	166.2	124.5
<b>Fund Total:</b>		269.0	304.2	262.5



---

## **FUND 2467 (HEALTH CARE APPEALS FUND)**

---

This fund consists of assessments of up to \$200 to each Arizona-authorized health insurer to pay for the Department's health care appeals external review process pursuant to ARS § 20-2540, and recoupment of amounts paid to independent review organization contractors to evaluate health care appeals involving decisions about medical necessity.

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### **4439 – Other Fees and Charges for Services**

The estimates for Fiscal Years 2021 and 2022 assume the same level of contractor-cost recoupment as the Fiscal Year 2020 actual.

### **4449 – Other Fees**

In Fiscal Year 2021, the Department assessed 831 health insurers \$200 each. The Department expects to decrease the per-insurer assessment amount to \$150 in Fiscal Year 2022.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
----------------	--

<b>Fund:</b>	ID2473 Financial Surveillance Fund
--------------	------------------------------------

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4449	OTHER FEES	410.0	410.0	410.0
<b>Fund Total:</b>		410.0	410.0	410.0



## FUND 2473 (FINANCIAL SURVEILLANCE FUND)

---

This fund consists of assessments collected from insurers domiciled in Arizona based on the insurer's assets, pursuant to ARS § 20-156(F).

**The following describes our approach to estimating revenue for sources of revenue exceeding \$100 thousand.**

### 4449 – Other Fees

The Department assessed the maximums allowed under ARS § 20-156(F) in Fiscal Years 2020 and 2021, and expects to assess the maximums in Fiscal Year 2022.

### **IMPORTANT NOTICE – IMPENDING FUND INSOLVENCY**

Annual revenues generated from assessment have been insufficient to pay its costs of employing financial analysts to conduct financial surveillance of domestic insurers as required by ARS § 20-156(F) and neither the Department's General Fund appropriation nor the Insurance Examiners' Revolving Fund ("IERF") have sufficient capacity to take on the costs creating the annual deficit. Furthermore, the Department's authority to use the IERF to pay for and recoup indirect costs associated with the insurer examinations (such as otherwise unfunded financial surveillance costs) terminates on December 31, 2021, as a result of changes to ARS § 20-142(D) and other Title 20 laws made by Laws 2020, Chapter 37 (SB 1293). Without measures to increase the assessment capacity of this fund, the fund will be completely depleted during Fiscal Year 2021.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2975 Title VI - Coronavirus Relief Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4911	FEDERAL TRANSFERS IN	181.5	0.0	0.0
<b>Fund Total:</b>		181.5	0.0	0.0

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID3023 Receivership Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4823	CURRENT YEAR REIMBURSEMENTS -REFUNDS	7.7	0.0	0.0
4901	OPERATING TRANSFERS IN	73.2	14.2	12.2
<b>Fund Total:</b>		80.9	14.2	12.2

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID3104 Insurance Receivership Liquidation Fund

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4631	TREASURERS INTEREST INCOME	1.5	1.5	1.5
4699	MISCELLANEOUS RECEIPTS	151.7	0.0	120.0
<b>Fund Total:</b>		153.2	1.5	121.5

## Revenue Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
----------------	--

<b>Fund:</b>	ID3727 Insurance Tax Premium Clearing Fund
--------------	--

AFIS Code	Category of Receipt and Description	FY 2020	FY 2021	FY 2022
4192	INSURANCE PREMIUM TAX	3.7	(81.4)	0.0
<b>Fund Total:</b>		3.7	(81.4)	0.0



## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID1991 Auto Theft SIMS Settlement</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	1.2	1.4	0.0
Revenue (From Revenue Schedule)	0.2	0.0	0.0
Total Available	1.4	1.4	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	1.4	0.0
Balance Forward to Next Year	1.4	0.0	0.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	1.4	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>1.4</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>1.4</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

**Agency:** Department of Insurance Financial Institutions

**Fund:** ID1997 Mortgage Recovery Fund

<b>Cash Flow Summary</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
Balance Forward from Prior Year	2,305.8	2,562.5	2,816.2
Revenue (From Revenue Schedule)	256.7	253.7	253.7
Total Available	2,562.5	2,816.2	3,069.9
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	2,562.5	2,816.2	3,069.9

<b>Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Appropriated FTE:</b>	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID1998 Financial Services Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	9,147.9	11,003.4	12,377.9
Revenue (From Revenue Schedule)	5,531.9	5,531.9	5,531.9
Total Available	14,679.8	16,535.3	17,909.8
Total Appropriated Disbursements	3,676.4	4,157.4	4,157.4
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	11,003.4	12,377.9	13,752.4

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	2,625.8	3,021.8	3,021.8
Employee Related Expenses	985.6	1,135.6	1,135.6
Prof. And Outside Services	60.9	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	4.1	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>3,676.4</b>	<b>4,157.4</b>	<b>4,157.4</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>3,676.4</b>	<b>4,157.4</b>	<b>4,157.4</b>
<b>Appropriated FTE:</b>	<b>63.0</b>	<b>51.0</b>	<b>51.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2000 Federal Grants Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	0.0	0.0	0.0
Total Available	0.0	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSP:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2034 Insurance Examiners Revolving Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	1,084.4	1,452.5	1,596.3
Revenue (From Revenue Schedule)	2,959.9	2,959.9	2,959.9
Total Available	4,044.3	4,412.4	4,556.2
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	2,591.8	2,816.1	2,120.5
Balance Forward to Next Year	1,452.5	1,596.3	2,435.7

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	376.6	542.7	118.1
Employee Related Expenses	139.6	189.0	36.2
Prof. And Outside Services	2,024.6	2,024.6	2,024.6
Travel - In State	0.0	0.0	0.0
Travel - Out of State	9.1	5.0	5.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	41.9	54.8	(63.4)
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>2,591.8</b>	<b>2,816.1</b>	<b>2,120.5</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>2,591.8</b>	<b>2,816.1</b>	<b>2,120.5</b>
<b>Non-Appropriated FTE:</b>	<b>5.9</b>	<b>6.9</b>	<b>1.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2060 Automobile Theft Authority Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	4,421.4	6,033.1	7,548.9
Revenue (From Revenue Schedule)	6,845.8	6,845.8	6,845.8
Total Available	11,267.2	12,878.9	14,394.7
Total Appropriated Disbursements	5,234.1	5,330.0	5,763.7
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	6,033.1	7,548.9	8,631.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	300.2	346.6	346.6
Employee Related Expenses	109.4	131.4	131.4
Prof. And Outside Services	9.9	16.1	16.1
Travel - In State	3.3	6.2	6.2
Travel - Out of State	2.6	3.8	3.8
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	943.4	982.7	982.7
Other Operating Expenses	114.5	117.5	117.5
Equipment	0.1	50.0	50.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	3,750.7	3,675.7	4,109.4
<b>Expenditure Categories Total:</b>	<b>5,234.1</b>	<b>5,330.0</b>	<b>5,763.7</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>5,234.1</b>	<b>5,330.0</b>	<b>5,763.7</b>
<b>Appropriated FTE:</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

**Agency:** Department of Insurance Financial Institutions

**Fund:** ID2126 Banking Department Revolving

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	284.1	202.0	200.0
Revenue (From Revenue Schedule)	299.9	266.8	266.8
Total Available	584.0	468.8	466.8
Total Appropriated Disbursements	1.9	50.3	0.0
Total Non-Appropriated Disbursements	380.1	218.5	266.8
Balance Forward to Next Year	202.0	200.0	200.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	1.9	50.3	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>1.9</b>	<b>50.3</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>1.9</b>	<b>50.3</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	38.1	146.7	197.0
Travel - In State	47.2	47.2	47.2
Travel - Out of State	10.4	10.4	10.4
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	183.8	0.0	0.0
Equipment	0.7	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	26.7	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>306.9</b>	<b>204.3</b>	<b>254.6</b>
Cap Transfer due to Fund Balance	73.2	14.2	12.2
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>380.1</b>	<b>218.5</b>	<b>266.8</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

**Agency:** Department of Insurance Financial Institutions

**Fund:** ID2163 Insurance Department Fingerprinting Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	26.9	75.6	0.0
Revenue (From Revenue Schedule)	48.7	(75.6)	0.0
Total Available	75.6	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	75.6	0.0	0.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:



## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2316 Assessment Fund for Voluntary Plans Fund

<b>Cash Flow Summary</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
Balance Forward from Prior Year	202.6	99.1	99.1
Total Available	202.6	99.1	99.1
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	103.5	0.0	0.0
Balance Forward to Next Year	99.1	99.1	99.1

<b>Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>
Personal Services	50.4	0.0	0.0
Employee Related Expenses	20.6	0.0	0.0
Prof. And Outside Services	8.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	22.1	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	2.4	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>103.5</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>103.5</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>1.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSP:

## Sources and Uses of Funds

**Agency:** Department of Insurance Financial Institutions

**Fund:** ID2377 Captive Insurance Regulatory and Supervision Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	574.9	516.1	538.3
Revenue (From Revenue Schedule)	686.8	750.3	777.8
Total Available	1,261.7	1,266.4	1,316.1
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	745.6	728.1	750.3
Balance Forward to Next Year	516.1	538.3	565.8

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	240.0	234.8	234.8
Employee Related Expenses	93.8	105.0	105.0
Prof. And Outside Services	6.2	38.6	38.6
Travel - In State	0.0	0.0	0.0
Travel - Out of State	7.0	10.0	10.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	23.7	23.6	23.6
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>370.7</b>	<b>412.0</b>	<b>412.0</b>
Cap Transfer due to Fund Balance	374.9	316.1	338.3
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>745.6</b>	<b>728.1</b>	<b>750.3</b>
<b>Non-Appropriated FTE:</b>	<b>3.6</b>	<b>3.1</b>	<b>3.1</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2467 Health Care Appeals Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	97.2	125.5	173.1
Revenue (From Revenue Schedule)	269.0	304.2	262.5
Total Available	366.2	429.7	435.6
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	240.7	256.6	256.6
Balance Forward to Next Year	125.5	173.1	179.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	74.0	73.7	73.7
Employee Related Expenses	25.6	32.9	32.9
Prof. And Outside Services	131.3	138.6	138.6
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	8.6	11.4	11.4
Equipment	1.2	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>240.7</b>	<b>256.6</b>	<b>256.6</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>240.7</b>	<b>256.6</b>	<b>256.6</b>
<b>Non-Appropriated FTE:</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2473 Financial Surveillance Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	271.9	193.3	53.5
Revenue (From Revenue Schedule)	410.0	410.0	410.0
Total Available	681.9	603.3	463.5
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	488.6	549.8	1,355.4
Balance Forward to Next Year	193.3	53.5	(891.9)

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	335.7	360.5	885.5
Employee Related Expenses	120.1	156.1	331.3
Prof. And Outside Services	3.2	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	29.6	33.2	138.6
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>488.6</b>	<b>549.8</b>	<b>1,355.4</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>488.6</b>	<b>549.8</b>	<b>1,355.4</b>
<b>Non-Appropriated FTE:</b>	<b>6.7</b>	<b>5.7</b>	<b>11.6</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2500 IGA and ISA Fund

<b>Cash Flow Summary</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
Balance Forward from Prior Year	542.9	0.0	0.0
Total Available	542.9	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	542.9	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

<b>Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Appropriated FTE:</b>	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2020	Estimate FY 2021	Estimate FY 2022
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	433.8	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	109.1	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	542.9	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	542.9	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

**Fund Description**

OSP:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID2975 Title VI - Coronavirus Relief Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	0.0	0.0	0.0
Revenue (From Revenue Schedule)	181.5	0.0	0.0
Total Available	181.5	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	181.5	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	169.4	0.0	0.0
Employee Related Expenses	12.1	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>181.5</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>181.5</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID3023 Receivership Revolving Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	879.4	892.2	895.8
Revenue (From Revenue Schedule)	80.9	14.2	12.2
Total Available	960.3	906.4	908.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	68.1	10.6	10.6
Balance Forward to Next Year	892.2	895.8	897.4

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	68.0	10.0	10.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.1	0.6	0.6
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>68.1</b>	<b>10.6</b>	<b>10.6</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>68.1</b>	<b>10.6</b>	<b>10.6</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Sources and Uses of Funds

**Agency:** Department of Insurance Financial Institutions

**Fund:** ID3104 Insurance Receivership Liquidation Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	146.1	172.7	31.0
Revenue (From Revenue Schedule)	153.2	1.5	121.5
Total Available	299.3	174.2	152.5
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	126.6	143.2	143.2
Balance Forward to Next Year	172.7	31.0	9.3

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	91.0	90.9	90.9
Employee Related Expenses	27.0	33.0	33.0
Prof. And Outside Services	0.3	10.2	10.2
Travel - In State	0.0	0.0	0.0
Travel - Out of State	1.8	1.0	1.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	6.5	8.1	8.1
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>126.6</b>	<b>143.2</b>	<b>143.2</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>126.6</b>	<b>143.2</b>	<b>143.2</b>
<b>Non-Appropriated FTE:</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>

**Fund Description**

OSPB:



## Sources and Uses of Funds

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Fund:</b>	<b>ID3727 Insurance Tax Premium Clearing Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
Balance Forward from Prior Year	77.7	81.4	0.0
Revenue (From Revenue Schedule)	3.7	(81.4)	0.0
Total Available	81.4	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	81.4	0.0	0.0

<b>Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2020</b>	<b>Estimate FY 2021</b>	<b>Estimate FY 2022</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Fund Description**

OSPB:

## Funding Issues List

**Agency:** Department of Insurance Financial Institutions

FY 2022

Priority	Funding Issue Title	Total FTE	Total Amount	General Fund	Other Apro. Funds	Non-App Funds
1	INSURER FINANCIAL SOUNDNESS & CONSUMER PROTECTION	0.0	110.0	0.0	0.0	110.0
2	VEHICLE THEFT TASK FORCE FUNDING	0.0	433.7	0.0	433.7	0.0
3	ESTABLISH FRAUD UNIT SLI (corrected)	0.0	0.0	0.0	0.0	0.0
4	ELIMINATE BANKING REVOLVING FUND SLI	0.0	0.0	0.0	(50.3)	50.3
5	ADJUSTMENTS FOR ONE-TIME FUNDING	(1.0)	(251.4)	(250.0)	0.0	(1.4)
<b>Total:</b>		(1.0)	292.3	(250.0)	383.4	158.9
<b>Decision Package Total:</b>		(1.0)	292.3	(250.0)	383.4	158.9

## Funding Issue Detail

**Agency:** Department of Insurance Financial Institutions

**Issue:** 1 INSURER FINANCIAL SOUNDNESS & CONSUMER PROTECTION

<b>Program:</b>	Solvency Oversight	<b>Calculated ERE:</b>	\$102.20
<b>Fund:</b>	ID2473-N Financial Surveillance Fund (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	2.9
Personal Services	330.0
Employee Related Expenses	102.2
<b>Subtotal Personal Services and ERE:</b>	<b>432.2</b>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	41.8
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>474.0</b>

<b>Program:</b>	Solvency Oversight	<b>Calculated ERE:</b>	(\$79.80)
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	(2.9)
Personal Services	(229.6)
Employee Related Expenses	(79.8)
<b>Subtotal Personal Services and ERE:</b>	<b>(309.4)</b>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(54.6)
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>(364.0)</b>

<b>Program:</b>	Consumer Protection	<b>Calculated ERE:</b>	(\$73.00)
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	(3.0)
Personal Services	(195.0)
Employee Related Expenses	(73.0)
<b>Subtotal Personal Services and ERE:</b>	<b>(268.0)</b>
Professional & Outside Services	0.0

## Funding Issue Detail

**Agency:** Department of Insurance Financial Institutions

**Issue:** 1 INSURER FINANCIAL SOUNDNESS & CONSUMER PROTECTION

Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(63.6)
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0

**Program / Fund Total:** (331.6)

<b>Program:</b>	Consumer Protection	<b>Calculated ERE:</b>	\$73.00
<b>Fund:</b>	ID2473-N Financial Surveillance Fund (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	3.0
Personal Services	195.0
Employee Related Expenses	73.0
<b>Subtotal Personal Services and ERE:</b>	<b>268.0</b>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	63.6
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>331.6</b>

**Issue:** 2 VEHICLE THEFT TASK FORCE FUNDING

<b>Program:</b>	SLI ATA Vehicle Theft Task Force	<b>Calculated ERE:</b>	\$0.00
<b>Fund:</b>	ID2060-A Automobile Theft Authority Fund (Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
<b>Subtotal Personal Services and ERE:</b>	<b>0.0</b>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0

## Funding Issue Detail

<b>Agency:</b>	Department of Insurance Financial Institutions
----------------	--

<b>Issue:</b>	2	VEHICLE THEFT TASK FORCE FUNDING
---------------	---	----------------------------------

Transfers	433.7
<b>Program / Fund Total:</b>	433.7

<b>Issue:</b>	3	ESTABLISH FRAUD UNIT SLI (corrected)
---------------	---	--------------------------------------

<b>Program:</b>	Insurance Fraud Investigation and Deterrence	<b>Calculated ERE:</b>	(\$218.20)
<b>Fund:</b>	AA1000-A General Fund (Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	(9.0)
Personal Services	(581.2)
Employee Related Expenses	(244.7)
<b>Subtotal Personal Services and ERE:</b>	<b>(825.9)</b>
Professional & Outside Services	(243.5)
Travel In-State	(18.5)
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(70.1)
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>(1,158.0)</b>

<b>Program:</b>	SLI Insurance Fraud Unit	<b>Calculated ERE:</b>	\$218.20
<b>Fund:</b>	AA1000-A General Fund (Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	9.0
Personal Services	581.2
Employee Related Expenses	244.7
<b>Subtotal Personal Services and ERE:</b>	<b>825.9</b>
Professional & Outside Services	243.5
Travel In-State	18.5
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	70.1
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>1,158.0</b>

## Funding Issue Detail

**Agency:** Department of Insurance Financial Institutions

**Issue:** 4 ELIMINATE BANKING REVOLVING FUND SLI

<b>Program:</b>	Consumer Protection	<b>Calculated ERE:</b>	\$0.00
<b>Fund:</b>	ID2126-A Banking Department Revolving (Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
<b>Subtotal Personal Services and ERE:</b>	<b>0.0</b>
Professional & Outside Services	(50.3)
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>(50.3)</b>

<b>Program:</b>	Consumer Protection	<b>Calculated ERE:</b>	\$0.00
<b>Fund:</b>	ID2126-N Banking Department Revolving (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
<b>Subtotal Personal Services and ERE:</b>	<b>0.0</b>
Professional & Outside Services	50.3
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
<b>Program / Fund Total:</b>	<b>50.3</b>

## Funding Issue Detail

**Agency:** Department of Insurance Financial Institutions

**Issue:** 5 ADJUSTMENTS FOR ONE-TIME FUNDING

<b>Program:</b>	Automobile Theft Authority	<b>Calculated ERE:</b>	\$0.00
<b>Fund:</b>	ID1991-N Auto Theft SIMS Settlement (Non-Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
<b>Subtotal Personal Services and ERE:</b>	<u>0.0</u>
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	<u>(1.4)</u>
<b>Program / Fund Total:</b>	<u>(1.4)</u>

<b>Program:</b>	Mental Health Parity	<b>Calculated ERE:</b>	(\$29.50)
<b>Fund:</b>	AA1000-A General Fund (Appropriated)	<b>Uniform Allowance:</b>	\$0.00

Expenditure Categories	FY 2022
FTE	(1.0)
Personal Services	(88.3)
Employee Related Expenses	(29.5)
<b>Subtotal Personal Services and ERE:</b>	<u>(117.8)</u>
Professional & Outside Services	(127.7)
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	(2.5)
Equipment	(2.0)
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	<u>0.0</u>
<b>Program / Fund Total:</b>	<u>(250.0)</u>



## FUNDING ISSUE 1: PRESERVE DIFI'S ABILITY TO IDENTIFY AND ADDRESS THREATS TO INSURER FINANCIAL SOUNDNESS AND CONSUMER PROTECTION

### Description of Issue

Beginning in Fiscal Year 2022, the Department will lack sufficient resources to pay the costs of employing financial analysts who conduct financial surveillance of Arizona's domestic insurers as required by ARS § 20-156(F), and will lack the availability of resources to perform pre-examination selection and preparation activities, post-examination activities and other evaluations of compliance required by law as set forth in ARS § 20-156(D).

Arizona law makes two funding sources available to the Department to pay the costs of administering its insurer analysis and examination functions, the Insurance Examiners' Revolving Fund (**IERF**) and the Financial Surveillance Fund (**FSF**). Over the years, the Department has shifted the way it oversees insurer financial condition and market conduct away from on-site examinations to cost efficient and less invasive in-house analysis. Twenty years ago (in FY 2000), the Department annually spent over \$9 million dollars for financial and market conduct examinations. In FY 2020, expenditures from the FSF and IERF totaled \$3 million, a decrease of  $\frac{2}{3}$  of the FY 2000 cost. The Department is arguably the most efficient insurance regulator in the US, with one third the number of FTEs per capita of the average insurance regulator. Despite this fact, the Department has maintained accreditation without interruption (although NAIC accreditation teams have expressed concerns with our bare-bones staffing levels), and successfully oversees a robust, dynamic Arizona insurance market.

### Insurance Examiners' Revolving Fund ("IERF," Fund ID2034)

Pursuant to A.R.S. §§ 20-156 and 20-159, IERF pays expenses for pre-examination, examination and post-examination work, and other evaluations of the affairs, transactions, accounts, records and assets of insurers authorized to do business in Arizona. This includes "direct costs" of paying state-contracted examiners hourly rates for work performed, and travel and other expenses; and, it includes costs the Department incurs to target, scope and oversee examinations, review examination work products, assign and pay contractors, and bill and collect examination costs from insurers (collectively, "indirect costs"). For at least the past 30 years, the Department allocated indirect costs to insurers in relation to the amount of fees the state-contracted vendors charged for their hourly rates. However, because the Department so significantly reduced its examination activities in favor of in-house analysis, the total fees charged by vendors for their work increased the portion of indirect costs to total costs allocated on each insurer examination billing. To mitigate impacts of indirect costs on insurers being examined, the Department shifted funding for employees who had been paid from the IERF to being paid from other funds to the extent those FTEs worked on matters appropriate for payment from those funding sources. In FY 2015, the Department was paying for 16.45 FTEs from the IERF. During the past five years, the Department:

- Improved processes, eliminated 3.5 FTE IERF positions and moved an additional 3.5 FTEs from the IERF to the General Fund
- Shifted 1.0 FTEs from the IERF to the Captive Insurance Regulatory and Supervision Fund
- Shifted 2.3 FTEs from the IERF to the FSF
- Shifted 0.25 FTEs from the IERF to the Insurance Receivership Liquidation Fund

The Department is now paying for only 5.9 FTEs. However, starting January 1, 2021, Laws 2020, Chapter 37 (Senate Bill 1293), § 21 restricts the Department to recovering only direct costs of an insurer examination, eliminating the Department's ability to recover indirect costs that are necessary for the Department to pay for its 5.9 FTEs who perform essential examination-related functions, and exposing the IERF to the risk of insolvency if examination work is performed on insurers that are unable to pay examination invoices.



**In FY 2021, the indirect costs will total approximately \$660 thousand**, including personal services (\$440.9 thousand), employee related expenditures (\$163.2 thousand) office space (\$29.7 thousand based on an allocation of rent required to be paid from non-appropriated funds), AFIS charges (\$4.5 thousand), costs of examination software licensing (\$5.8 thousand), voice/data services (\$8.4 thousand), office supplies, postage and record storage (\$5.1 thousand), etc.

### **Financial Surveillance Fund (“FSF,” Fund ID2473)**

Pursuant to A.R.S. § 20-156, the Financial Surveillance Fund (“FSF”) pays expenses associated with financial analysts and surveillance of Arizona-domiciled insurance companies with revenue obtained from assessments of Arizona’s domestic insurers. Each assessment is based on the insurer’s total admitted assets. Insurers with the greatest level of assets (\$1 billion+) pay 60 times the assessment amount of insurers with the lowest level of assets (< \$25 million). Arizona has many more insurers in the latter category than in the former.

The FSF currently pays for 5.7 FTEs at approximately \$520,000 in costs.

In FY 2020, the Department collected only \$410,000 in revenue from maximum-level assessments allowed, resulting in an **annual shortfall of \$110,000**. The Department began FY 2021 with \$192,200 in the fund.

FY 2021 FSF assessments sent to insurers in July totaled \$414,375.

As demonstrated in the Sources and Uses section of this budget request, the FSF will run out of funds in FY 2022 unless action is taken.

### **How the Request Furthers the Agency’s Mandates**

DIFI’s mission is to help Arizonans receive the benefits and protections to which they are legally entitled by enforcing insurance and financial institution laws and by providing information and assistance, and to combat vehicle theft. Central to DIFI’s mission as it relates to insurance is monitoring, examining, facilitating compliance of, and ensuring the safety and soundness of insurance companies operating in Arizona.

Arizona law (ARS §§ 20-156, 20-158, 20-160, 20-169, 20-172, *et al*) requires that the Department monitor the financial condition of insurance companies that hold a primary license in Arizona (domestic insurers), examine each such insurer both when it seeks license authority and at least every five years, and examine any insurer doing business as the Department determines is necessary. The Department conducts *ad hoc* examinations infrequently and only when the Department has evidence that the insurer is engaged in a systemic practice that violates Arizona law and harms Arizona policyholders. The Department’s *ad hoc* examination process is part of a continuum of regulatory tools the Department employs to identify potential violations of Arizona law, to ascertain the scope and magnitude of violations, and to help regulated entities come into compliance.

### **NAIC Accreditation**

Since the late 1980s, insurance regulators from across the US worked together as members of the National Association of Insurance Commissioners (NAIC) to establish and improve a Financial Regulation Standards and Accreditation Program, requiring insurance regulators in all states, the District of Columbia and five U.S. territories to fulfill minimal standards, implement best practices and coordinate regulatory oversight. The Accreditation Program is based on a risk-focused surveillance framework, designed to provide continuous regulatory oversight through two essential components: financial analysis and financial examinations. Insurance regulators undergo an accreditation self-audit every year, and a formal on-site accreditation review every five years. An accreditation review evaluates whether a jurisdiction has the laws, resources, procedures and practices necessary to competently oversee insurer financial safety and soundness.

As a fully accredited state, Arizona is required to conduct a full-scope financial examination (assess current financial condition and prospective solvency) of each insurer domiciled in Arizona at least once every five years. By our meeting Accreditation Program standards, other states that have policyholders with that insurer can rely on the quality of the financial examination conducted by Arizona and do not need to conduct their own financial examinations. If Arizona were to lose its accreditation with the NAIC, each state in which one of our domestic insurers is operating may decide it needs to conduct its own financial examination of the insurer to assess its

financial solvency, which would be very costly and burdensome to the insurer and would likely result in the insurer withdrawing from Arizona and relocating its business to another state.

Impacts of Insurer Insolvencies on the General Fund. When a licensed insurer becomes insolvent, the Arizona Guaranty Funds steps in to pay policyholder claims up to statute-prescribed maximums. In turn, the Guaranty Funds levy assessments on other insurers doing business in Arizona in relation to the amount of business they conduct (insurance premiums). When an insurer pays a guaranty fund assessment, it becomes entitled to offset its Arizona premium tax liability over a five-year period, resulting in reduced General Fund revenues. Accordingly, payments made out of the Guaranty Funds due to insolvent insurers necessarily affects state general fund revenue because greater numbers of insurance insolvencies will require higher assessments on the remaining insurers to maintain the Guaranty Funds financial integrity, which in turn will reduce the general fund because those assessment payments can be credited against insurers' tax liability. It is therefore important that Arizona continue to remain able to effectively monitor the solvency of its domestic insurers and remain vigilant in monitoring other insurers doing business in Arizona that are domiciled elsewhere so that Arizona can take steps to effectively minimize the number and magnitude of insurer insolvencies.

## Protection of Citizens and All Arizona Taxpayers

If the Department lacks the resources to identify systemic violations in insurer conduct, or problems with insurer financial conditions, ***all Arizonans suffer the consequences***.

Insurer misconduct could go undetected and uncorrected except by anecdotal reporting by insurance consumers, resulting in consumers being unwittingly victimized by insurer noncompliance with laws designed to protect consumers. As an example, through its market conduct analysis activities, the Department identified an insurer that had systemic claims-processing problems resulting in its enrollees being erroneously balance billed for healthcare costs. The Department required the insurer to develop a corrective action plan to implement permanent improvements to its processes and systems to prevent the recurrence of the errors. The Department also required the insurer to conduct an audit to identify the consumers who were wrongly balanced billed for healthcare expenses, resulting in the insurer paying \$2.68 million during FY 2019 and FY 2020 to Arizona health insurance consumers who were wrongly balanced billed for medical expenses, and to Arizona healthcare providers who were underpaid for healthcare services they provided.

Insurer financial conditions could deteriorate and, without intervention to mitigate the magnitude of the problem, insurers could go out of business, requiring the Arizona guaranty funds to pay the insolvent insurers' claims, requiring the guaranty funds to assess solvent insurers doing business in Arizona for those costs, and ultimately costing Arizona taxpayers in lost General Fund revenue from tax offsets the viable assessed insurers apply against their insurance premium taxes.

## Proposal

We propose that legislation be enacted that increases the scope and assessment capacity of the FSF, thereby distributing among Arizona-domiciled insurers the costs of the Department's financial analysis of insurers and the *indirect costs* associated with the Department completing pre-examination, examination and post-examination activities, and other evaluations of the affairs, transactions, accounts, records and assets of insurers authorized to do business in Arizona. Specifically, we propose that ARS § 20-156(F) be modified as follows:

- F. The director shall annually assess and collect from each domestic insurer, other than a domestic life and disability reinsurer as defined in section 20-1082, a service company as defined in section 20-1095, and a mechanical reimbursement reinsurer as defined in section 20-1096, an amount within the ranges provided in this subsection and on a uniform percentage basis among all fee categories, to pay the costs of employing ~~financial~~ analysts who shall assist the department in conducting financial surveillance of domestic insurers; completing pre-examination, examination and post examination activities; and, evaluating the affairs, transactions, accounts, records and assets of insurers authorized to do business in Arizona. The director shall deposit all collected monies in the financial surveillance fund. The director shall base the amount of each insurer's assessment on the total admitted assets of the insurer as shown in its annual statement for the calendar year preceding the year in which the assessment is made, according to the following schedule:

	Minimum Assessment Amount	Maximum Assessment Amount
Insurers with total admitted assets of greater than \$1,000,000,000	\$15,000	<del>\$22,500</del> <u>\$60,000</u>
Insurers with total admitted assets of at least \$200,000,000 but not more than \$1,000,000,000	\$5,000	<del>\$7,500</del> <u>\$25,000</u>
Insurers with total admitted assets of at least \$100,000,000 but not more than \$199,999,999	\$3,000	<del>\$4,500</del> <u>\$15,000</u>
Insurers with total admitted assets of at least \$50,000,000 but not more than \$99,999,999	\$1,500	<del>\$2,500</del> <u>\$7,500</u>
Insurers with total admitted assets of at least \$25,000,000 but not more than \$49,999,999	\$500	<del>\$750</del> <u>\$3,000</u>
Insurers with total admitted assets of not more than \$24,999,999	\$250	<del>\$375</del> <u>\$1,500</u>

## Alternatives Considered; Reasons for Rejection

1. **Increase the Department's General Fund appropriation by \$770.0 thousand.** This would allow the Department to shift 5.9 FTE's from the IERF and 1.0 FTE from the SFS, allowing the Department to avoid billing insurers for indirect examination costs, which will be prohibited from and after December 31, 2021, and allowing assessment revenue in the FSF to match expenses.

**Benefits of the option.** This alternative would resolve the funding shortfalls, and would distribute the costs to the Arizona insurance industry based on the fact that they pay licensing and filing fees that are established pursuant to ARS § 20-167 that are required to return at least as much as the Department's appropriation. In Fiscal Year 2020, the Department collected \$14.3 million in licensing and filing fees from the insurance industry (approximately \$11.1 million from insurance professionals) that were deposited to the State General Fund. By contrast, the Department's General Fund appropriation for its insurance regulation operations (e.g. excluding the \$2 million attributed to its financial institution/enterprise regulation operations) is \$6.1 million. Additionally, the Department could increase the fees charged pursuant to ARS § 20-167 by 5% to cover the increased appropriation. For example, instead of charging \$120 for an insurance professional license, it could charge \$126.

**Reasons rejected.** We rejected this option because it concentrates the burden on insurance professionals, who pay the bulk of fees under the Department's fee schedule. Although insurance professionals benefit from being able to sell insurance products made available by viable insurers that comply with Arizona laws, we believe that insurers reap greater benefits. If an insurance company becomes insolvent, viable insurers must pay guaranty fund assessments (although they then receive credits against their insurance premium tax liabilities, which reduce General Fund revenue). Additionally, all insurers in Arizona's marketplace benefit from the Department maintaining a level playing field where all insurers are held to the same compliance and financial solvency standards.

2. **Do nothing.** This would require the Department to shift the 5.9 IERF FTEs and 1.0 FTE FSF FTE to the General Fund (because the Department does not have another fund appropriate for paying those employees).

**Benefits of the option.** This alternative would resolve the funding shortfall.

**Reasons rejected.** We rejected this option because it would require the Department to institute a reduction in force or to refrain from hiring employees in positions that are critical to the Department's operations.

## Impact of Not Funding this Fiscal Year

The Department's Insurance Division already has the lowest *per capita* staffing level of insurance departments across the US, and only one-third the staffing level of the average insurance department. Reducing staff below current levels would be detrimental to Arizona insurance consumers who rely on us to help investigate and resolve complaints about potential law violations; who rely on being presented with insurance policies that contain provisions and meet standards Arizona law requires; who expect to pay insurance premiums based on levels of risk rather than on unfairly discriminatory factors; who expect to be able to present surprise healthcare bills for the arbitration process the Department administers (and for which the Department was forced to absorb program costs from its General Fund appropriation); and who expect to have insurance companies that will remain in business to help respond to the wide array of life events, some minor and some major, by providing policyholder assistance and paying claims.

Because the Department will prioritize resources to ensure it remains accredited by the NAIC in order to keep Arizona's domestic insurance companies from departing for other jurisdictions, any reduction in force will necessarily impact the Department's promptness and effectiveness in helping consumers work through problems or in helping qualified applicants promptly obtain the licensing credentials they need to be able to become employed or create new businesses in Arizona.

## Statutory Reference

AZ Const. Art. 15 § 5 requires domestic and foreign insurers to be subject to licensing, control and supervision by the Department.

ARS § 20-142(A) requires the director to "enforce the provisions of [Title 20]."

ARS § 20-152 requires the director to enforce the provisions of Title 20.

ARS §§ 20-115, 20-156, 20-158, 20-160, 20-169, 20-172, 20-340.01, 20-358, 20-394, 20-400.01, 20-400.02, 20-486.09, 20-831, 20-887, 20-1008, 20-1014, 20-1058, 20-1064, 20-1098.08, 20-1560, 20-1588, 20-1621.05, 20-1633, 20-1809, 20-2217, 20-2403, 20-2506, 20-3206, 20-3207, 20-3459 require that the Department monitor the financial condition of insurance companies that hold a primary license in Arizona (domestic insurers), examine each such insurer both when it seeks license authority and at least every five years, and examine any insurer and several other forms of insurance entities doing business in Arizona as the Department determines necessary to facilitate compliance with ARS Title 20.

## Equipment to be Purchased

None.

## Classification of New Positions

Not applicable.

## Annualization

None.



ARIZONA DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

**Human Resources Database**

Actual Employment by Index

For Personnel as of  
August 02, 2020

EIN	Last Name	First Name	Position Number	Grade/Title	Index	Hourly Salary	Perf Pay +*Stipend	Hourly Total	FTEs	Annual Total w/ Perf Pay	Portion of Annual Total
160815	BOND	JULIE	SID000000101	24 ID FIN AFFAIRS COORDNR	234FANX	\$38,4615	\$0.0000	\$38,4615	1.00	\$80,000	\$80,000
28571	LEE	DAVID	SID000000039	27 CHIEF FINANCIAL EXAMINER	234FANX	\$44,4712	\$0.0000	\$44,4712	0.90	\$92,500	\$83,250
<b>TOTAL for 234FANX- IERF- Insurer Financial Analysis (nonbillable):</b>									<b>1.90</b>	<b>Annually</b>	<b>\$163,250</b>
										<b>Hourly</b>	<b>\$78,4856</b>
63098	SCHMIDT	KATHY	SID000000105	22 ID PROG ADMR	234FAXX	\$31,9010	\$0.0000	\$31,9010	1.00	\$66,354	\$66,354
<b>TOTAL for 234FAXX- IERF- Insurer Financial Examination:</b>									<b>1.00</b>	<b>Annually</b>	<b>\$66,354</b>
										<b>Hourly</b>	<b>\$31,9010</b>
183296	BORUNDA	SARAH	SID000000104	24 INSURANCE ANALYST SUPERVISOR	234MCAX	\$36,0577	\$0.0000	\$36,0577	1.00	\$75,000	\$75,000
88056	HAWLEY	CHERYL	SID000000062	22 ID INSURANCE ANALYST, SENIOR	234MCAX	\$28,8462	\$0.0000	\$28,8462	1.00	\$60,000	\$60,000
191494	PRICE	DELON	SID000000195	22 PROGRAM ADMINISTRATOR I	234MCAX	\$28,8462	\$0.0000	\$28,8462	1.00	\$60,000	\$60,000
<b>TOTAL for 234MCAX- IERF- Insurer Market Conduct Analysis (nonbillable):</b>									<b>3.00</b>	<b>Annually</b>	<b>\$195,000</b>
										<b>Hourly</b>	<b>\$93,7501</b>
<b>TOTALS FOR APPROPRIATION 20340- INSURANCE EXAMINERS' REVOLVING FUND:</b>									<b>5.90</b>	<b>Annually</b>	<b>\$424,604</b>
										<b>Hourly</b>	<b>\$204,1367</b>

TOTALS FOR FUND 2034:	5.90	Annually	\$424,604
		Hourly	\$204,1367



## FUNDING ISSUE 2: VEHICLE THEFT TASK FORCE FUNDING

### Description of Issue

The appropriation from the ATA Fund was not increased to coincide with FY 2020 increases in compensation and benefits awarded to ADPS law enforcement officers and civilian personnel. As a practical matter, this has required the VTTF to keep 5.5 of its 27 FTE positions vacant (four detectives, one criminal intelligence analyst and 0.5 intelligence research specialist) to ensure that officers currently assigned to the VTTF receive compensation and benefits in alignment with the FY 2020 increase, significantly impairing its capacity to combat vehicle theft and accompanying crimes.

### How the Request Furthers the Agency's Mandates

**Vehicle thefts impact all Arizonans who subscribe to vehicle insurance.** Everyone with vehicle insurance ends up paying higher insurance premiums to recompense insurers for losses sustained in paying claims for stolen vehicles and vehicle break-in damage. The Arizona Automobile Theft Authority and the VTTF, for the past 23 years, have benefited Arizonans by combating vehicle theft and successfully lowering the number of claims in this area that otherwise would have been paid.

**Arizona's investment in the VTTF has yielded extraordinary results in reducing the ultimate costs of vehicle thefts and protecting citizens from violent and non-violent crimes that often accompany vehicle thefts.** During Calendar Year 2019, the VTTF's work resulted in

- 306 arrests or suspects charged with crimes;
- Recovery of 1,635 vehicles with an estimated value of \$23.7 million (a 26.7% increase from CY 2018 and the highest in the past 10 years);
- 45 search warrants served;
- 7 business inspections conducted;
- 2,989 responses to calls for assistance from other agencies (a 60.4% increase from CY 2018, and the highest in VTTF's 23-year history);
- 28 weapons (guns, rifles, shotguns) seized

***In Fiscal Year 2020, the VTTF recovered \$6.49 for each dollar spent.***

The VTTF has been consistently effective for many years at combating auto theft. In CY 2011 through CY 2019, VTTF efforts resulted in 12,001 vehicles recovered with a value of \$132.5 million, 212 chop shops investigated, 2,225 felony arrests made, staffing of 99 border interdiction details, 409 business inspections, and 12,241 responses to calls for assistance from other agencies.

## Proposal

Appropriate an additional \$433,700 from the Automobile Theft Authority Fund (Fund ID2060) to allow the VTTF's personnel funding to align with the FY20 compensation and benefits increases for ADPS law enforcement officers and civilian personnel.

**Arizonans already pay more than enough money in insurance policy surcharges to cover the costs of this budget issue.** The VTTF funding is a special line item appropriation to the AATA. Arizonans who have automobile liability insurance policies pay a \$1 surcharge for each vehicle each year. The dollar is collected by insurance companies and remitted to the AATA semiannually.

The AATA can only use amounts to the extent they are appropriated. During FY 2020, the ATA Fund received \$6.8 million in revenue, and ended FY 2020 with a balance of just under \$6.1 million. The FY 2021 appropriation from the ATA Fund was \$5.32 million (of which, \$3.65 million was specified for the VTTF), which suggests that by the end of FY 2021, the ATA Fund will increase by around \$1.5 million to approximately \$7.5 million in unspent funds.

Funds are already available and just need to be appropriated. Investments in the VTTF have proven extremely successful, saving Arizonans almost \$6.50 for each dollar spent in FY 2020, while at the same time combating corresponding crimes that threaten the safety of Arizonans.

## Impact of Not Funding this Fiscal Year

The VTTF's 5.5 currently vacant positions would remain unfilled. With 20% of its positions vacant, this necessarily will result in continued reduced capacity to investigate vehicle theft, recover stolen vehicles, arrest and file charges against suspects, and bring vehicle theft crimes to prosecution.

## Statutory Reference

A.R.S. § 41-3451

## Equipment to be Purchased

None.

## Classification of New Positions

Not applicable.

## Annualization

None.





## FUNDING ISSUE 3: ESTABLISH FRAUD UNIT SPECIAL LINE ITEM

---

Renumbered from Funding Issue 2 on 9/22/2020

### Description of Issue

Laws 2020, Ch. 37 (Senate Bill 1293) § 53 modified A.R.S. 20-466(J), which now states, "[m]onies appropriated to the department for the fraud unit shall be included as a separate line item in the General Appropriations Act. The department shall use all appropriated monies exclusively to operate the fraud unit."

Unrelated to this decision package, but notable are the facts that Senate Bill 1293 struck language requiring annual assessments on insurers to return between 95% and 110% of the fraud unit budget, but otherwise did not change the requirement for the Department to assess each insurer "...for the administration and operation of the fraud unit and the prosecution of fraud..." For well over a decade, Arizona previously had enacted session laws that froze the per-insurer fraud unit assessment at \$1,050. No such provision was enacted in 2020, meaning that starting for FY 2022, the Department will need to adjust the assessment to return the amount appropriated in the SLI, annually reducing General Fund revenue by over \$700,000.

### How the Request Furthers the Agency's Mandates

The request will allow the agency to continue to investigate insurance fraud and to fulfill other aspects of its mission.

### Proposal

We propose the base budget of the Fraud Unit (after adjustments are made to reflect returning to 26 pay periods for Fiscal Year 2022) be appropriated as a special line item.

### Impact of Not Funding this Fiscal Year

If the Fraud Unit is not appropriated as a special line item, by function of ARS § 20-466(J) as amended, the Department will no longer have an appropriation for the Fraud Unit. The Department will be forced to eliminate all Fraud Unit positions and costs. The Department will no longer assess insurers for the cost of the Fraud Unit, reducing General Fund revenue by over \$1.1 million.

### Statutory Reference

ARS § 20-466(J).

### Equipment to be Purchased

None.

### Classification of New Positions

Not applicable.

### Annualization

None.





## **FUNDING ISSUE 4: ELIMINATE BANKING DEPARTMENT REVOLVING FUND**

---

Renumbered from Funding Issue 3 on 9/22/2020

### **Description of Issue**

Beginning in FY 2019, the (former) Department of Financial Institutions had a \$50,000 reduction to its General Fund appropriation, replaced by a \$50,000 appropriation from the Banking Department Revolving Fund ("BDRF") to pay costs relating to the interagency services agreement with the Attorney General's Office (noted as "Attorney General ISA Reallocation" in the 2019 JLBC Appropriation Report, pp. 457 and 464). The appropriation amount in the BDRF has since been adjusted to \$50,300.

The purpose of the BDRF per ARS § 6-135 is to pay costs of investigative proceedings and institute and prosecute civil actions. The fact that part of the funding is appropriated and part is not appropriated does not alter the expenditures that the Department makes from the fund, but it requires the Department (and GAO, OSPB, et al) to maintain separate records concerning the appropriated and non-appropriated amounts, which is unnecessarily burdensome.

### **How the Request Furthers the Agency's Mandates**

The request will eliminate the need for the Department to separately account for and budget appropriated and non-appropriated funds in the BDRF.

### **Proposal**

We propose that the special line item be eliminated. This will have no impact on the availability of funds in the BDRF to be used for the purposes set forth in ARS § 6-135.

### **Impact of Not Funding this Fiscal Year**

Not significant. The Department will continue to separately account for the appropriated and non-appropriated BDRF amounts.

### **Statutory Reference**

ARS § 6-135(B) and (C).

### **Equipment to be Purchased**

None.

### **Classification of New Positions**

Not applicable.

### **Annualization**

None.



## FUNDING ISSUE 5: ADJUSTMENTS FOR ONE-TIME FUNDING

---

Renumbered from Funding Issue 4 on 9/22/2020

### Description of Issue

The purpose of this is to record the anticipated discontinuation of available funds in Fund ID1991 (Auto Theft SIMS Settlement) and for the agency's mental health appropriation under Laws 2020, Chapter 4, § 10.

### How the Request Furthers the Agency's Mandates

Not applicable

### Proposal

Not applicable

### Impact of Not Funding this Fiscal Year

Not applicable

### Statutory Reference

Laws 2020, Chapter 4, § 10.

### Equipment to be Purchased

None.

### Classification of New Positions

Not applicable.

### Annualization

None.

## Summary of Expenditure and Budget Request for All Funds

**Agency:** Department of Insurance Financial Institutions

<b>Appropriated</b>		<b>FY 2020 Actual</b>	<b>FY 2021 Expd. Plan</b>	<b>FY 2022 Fund. Issue</b>	<b>FY 2022 Total Request</b>
<b>Cost Center/Program:</b>					
1	Policy and Administration	1,499.5	2,909.8	0.0	2,909.8
2	Solvency Oversight	4,053.9	2,775.4	0.0	2,775.4
3	Consumer Protection	3,448.0	4,215.6	(300.3)	3,915.3
4	Insurance Fraud Investigation and Deterrence	790.3	1,158.0	0.0	1,158.0
5	Licensing	584.1	1,239.6	0.0	1,239.6
6	Automobile Theft Authority	5,234.1	5,330.0	433.7	5,763.7
		<u>15,609.9</u>	<u>17,628.4</u>	<u>133.4</u>	<u>17,761.8</u>
<b>Expenditure Categories</b>					
	FTE	131.6	124.8	(1.0)	123.8
	Personal Services	6,543.4	7,416.2	(88.3)	7,327.9
	Employee Related Expenses	2,489.5	3,033.4	(29.5)	3,003.9
	Professional and Outside Services	519.9	719.1	(178.0)	541.1
	Travel In-State	19.5	31.3	0.0	31.3
	Travel Out of State	18.8	17.7	0.0	17.7
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	943.4	982.7	0.0	982.7
	Other Operating Expenses	1,210.0	1,585.9	(2.5)	1,583.4
	Equipment	93.7	166.4	(2.0)	164.4
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	3,771.7	3,675.7	433.7	4,109.4
	<b>Expenditure Categories Total:</b>	<u>15,609.9</u>	<u>17,628.4</u>	<u>133.4</u>	<u>17,761.8</u>

## Summary of Expenditure and Budget Request for All Funds

**Agency:** Department of Insurance Financial Institutions

<b>Non-Appropriated</b>		<b>FY 2020 Actual</b>	<b>FY 2021 Expd. Plan</b>	<b>FY 2022 Fund. Issue</b>	<b>FY 2022 Total Request</b>
<b>Cost Center/Program:</b>					
1	Policy and Administration	543.1	4.5	0.0	4.5
2	Solvency Oversight	3,377.8	3,391.2	110.0	3,501.2
3	Consumer Protection	781.4	996.9	50.3	1,047.2
4	Insurance Fraud Investigation and Deterrence	181.5	0.0	0.0	0.0
5	Licensing	137.5	0.0	0.0	0.0
6	Automobile Theft Authority	0.0	1.4	(1.4)	0.0
		5,021.3	4,394.0	158.9	4,552.9
<b>Expenditure Categories</b>					
	FTE	19.2	17.7	0.0	17.7
	Personal Services	1,337.1	1,302.6	100.4	1,403.0
	Employee Related Expenses	438.8	516.0	22.4	538.4
	Professional and Outside Services	2,713.5	2,368.7	50.3	2,419.0
	Travel In-State	47.2	47.2	0.0	47.2
	Travel Out of State	28.3	26.4	0.0	26.4
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	425.4	131.7	(12.8)	118.9
	Equipment	1.9	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	29.1	1.4	(1.4)	0.0
	<b>Expenditure Categories Total:</b>	5,021.3	4,394.0	158.9	4,552.9

## Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance Financial Institutions

<b>Agency Total for All Funds:</b>	20,631.2	22,022.4	292.3	22,314.7			
------------------------------------	----------	----------	-------	----------	--	--	--

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	AA1000 General Fund (Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
1 Policy and Administration	1,499.5	2,755.4	0.0	2,755.4
2 Solvency Oversight	1,348.3	829.8	0.0	829.8
3 Consumer Protection	2,475.3	2,840.6	(250.0)	2,590.6
4 Insurance Fraud Investigation and Deterrence	790.3	1,158.0	0.0	1,158.0
5 Licensing	584.1	506.9	0.0	506.9
	6,697.5	8,090.7	(250.0)	7,840.7
<b>Expenditure Categories</b>				
FTE	62.6	67.8	(1.0)	66.8
Personal Services	3,617.4	4,047.8	(88.3)	3,959.5
Employee Related Expenses	1,394.5	1,766.4	(29.5)	1,736.9
Professional and Outside Services	447.2	652.7	(127.7)	525.0
Travel In-State	16.2	25.1	0.0	25.1
Travel Out of State	16.2	13.9	0.0	13.9
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1,091.4	1,468.4	(2.5)	1,465.9
Equipment	93.6	116.4	(2.0)	114.4
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	21.0	0.0	0.0	0.0
	6,697.5	8,090.7	(250.0)	7,840.7
<b>Expenditure Categories Total:</b>				
<b>Fund Total:</b>	6,697.5	8,090.7	(250.0)	7,840.7

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID1991 Auto Theft SIMS Settlement (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
6 Automobile Theft Authority	0.0	1.4	(1.4)	0.0
	0.0	1.4	(1.4)	0.0
<b>Expenditure Categories</b>				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	1.4	(1.4)	0.0
<b>Expenditure Categories Total:</b>	0.0	1.4	(1.4)	0.0
<b>Fund Total:</b>	0.0	1.4	(1.4)	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID1998 Financial Services Fund (Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
1 Policy and Administration	0.0	154.4	0.0	154.4
2 Solvency Oversight	2,705.6	1,945.6	0.0	1,945.6
3 Consumer Protection	970.8	1,324.7	0.0	1,324.7
5 Licensing	0.0	732.7	0.0	732.7
	3,676.4	4,157.4	0.0	4,157.4
<b>Expenditure Categories</b>				
FTE	63.0	51.0	0.0	51.0
Personal Services	2,625.8	3,021.8	0.0	3,021.8
Employee Related Expenses	985.6	1,135.6	0.0	1,135.6
Professional and Outside Services	60.9	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	4.1	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
	3,676.4	4,157.4	0.0	4,157.4
<b>Expenditure Categories Total:</b>				
	3,676.4	4,157.4	0.0	4,157.4
<b>Fund Total:</b>				
	3,676.4	4,157.4	0.0	4,157.4



## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2034 Insurance Examiners Revolving Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
1 Policy and Administration	0.2	4.5	0.0	4.5
2 Solvency Oversight	2,016.9	2,275.6	(364.0)	1,911.6
3 Consumer Protection	437.2	536.0	(331.6)	204.4
5 Licensing	137.5	0.0	0.0	0.0
	2,591.8	2,816.1	(695.6)	2,120.5
<b>Expenditure Categories</b>				
FTE	5.9	6.9	(5.9)	1.0
Personal Services	376.6	542.7	(424.6)	118.1
Employee Related Expenses	139.6	189.0	(152.8)	36.2
Professional and Outside Services	2,024.6	2,024.6	0.0	2,024.6
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	9.1	5.0	0.0	5.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	41.9	54.8	(118.2)	(63.4)
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
	2,591.8	2,816.1	(695.6)	2,120.5
<b>Expenditure Categories Total:</b>				
<b>Fund Total:</b>	2,591.8	2,816.1	(695.6)	2,120.5

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2060 Automobile Theft Authority Fund (Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
6 Automobile Theft Authority	5,234.1	5,330.0	433.7	5,763.7
	5,234.1	5,330.0	433.7	5,763.7
<b>Expenditure Categories</b>				
FTE	6.0	6.0	0.0	6.0
Personal Services	300.2	346.6	0.0	346.6
Employee Related Expenses	109.4	131.4	0.0	131.4
Professional and Outside Services	9.9	16.1	0.0	16.1
Travel In-State	3.3	6.2	0.0	6.2
Travel Out of State	2.6	3.8	0.0	3.8
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	943.4	982.7	0.0	982.7
Other Operating Expenses	114.5	117.5	0.0	117.5
Equipment	0.1	50.0	0.0	50.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	3,750.7	3,675.7	433.7	4,109.4
<b>Expenditure Categories Total:</b>	5,234.1	5,330.0	433.7	5,763.7
<b>Fund Total:</b>	5,234.1	5,330.0	433.7	5,763.7

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2126 Banking Department Revolving (Appropriated)

		FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:					
3	Consumer Protection	1.9	50.3	(50.3)	0.0
		1.9	50.3	(50.3)	0.0
<b>Expenditure Categories</b>					
	FTE	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	1.9	50.3	(50.3)	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
	<b>Expenditure Categories Total:</b>	1.9	50.3	(50.3)	0.0
	<b>Fund Total:</b>	1.9	50.3	(50.3)	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2126 Banking Department Revolving (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
2 Solvency Oversight	306.9	0.0	0.0	0.0
3 Consumer Protection	0.0	204.3	50.3	254.6
	306.9	204.3	50.3	254.6
<b>Expenditure Categories</b>				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	38.1	146.7	50.3	197.0
Travel In-State	47.2	47.2	0.0	47.2
Travel Out of State	10.4	10.4	0.0	10.4
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	183.8	0.0	0.0	0.0
Equipment	0.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	26.7	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	306.9	204.3	50.3	254.6
<b>Fund Total:</b>	306.9	204.3	50.3	254.6

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2316 Assessment Fund for Voluntary Plans Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
3 Consumer Protection	103.5	0.0	0.0	0.0
	103.5	0.0	0.0	0.0
<b>Expenditure Categories</b>				
FTE	1.0	0.0	0.0	0.0
Personal Services	50.4	0.0	0.0	0.0
Employee Related Expenses	20.6	0.0	0.0	0.0
Professional and Outside Services	8.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	22.1	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	2.4	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	103.5	0.0	0.0	0.0
<b>Fund Total:</b>	103.5	0.0	0.0	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2377 Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
2 Solvency Oversight	370.7	412.0	0.0	412.0
	370.7	412.0	0.0	412.0
<b>Expenditure Categories</b>				
FTE	3.6	3.1	0.0	3.1
Personal Services	240.0	234.8	0.0	234.8
Employee Related Expenses	93.8	105.0	0.0	105.0
Professional and Outside Services	6.2	38.6	0.0	38.6
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	7.0	10.0	0.0	10.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	23.7	23.6	0.0	23.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	370.7	412.0	0.0	412.0
<b>Fund Total:</b>	370.7	412.0	0.0	412.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2467 Health Care Appeals Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
3 Consumer Protection	240.7	256.6	0.0	256.6
	240.7	256.6	0.0	256.6
<b>Expenditure Categories</b>				
FTE	1.0	1.0	0.0	1.0
Personal Services	74.0	73.7	0.0	73.7
Employee Related Expenses	25.6	32.9	0.0	32.9
Professional and Outside Services	131.3	138.6	0.0	138.6
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	8.6	11.4	0.0	11.4
Equipment	1.2	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	240.7	256.6	0.0	256.6
<b>Fund Total:</b>	240.7	256.6	0.0	256.6

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2473 Financial Surveillance Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
2 Solvency Oversight	488.6	549.8	474.0	1,023.8
3 Consumer Protection	0.0	0.0	331.6	331.6
	488.6	549.8	805.6	1,355.4
<b>Expenditure Categories</b>				
FTE	6.7	5.7	5.9	11.6
Personal Services	335.7	360.5	525.0	885.5
Employee Related Expenses	120.1	156.1	175.2	331.3
Professional and Outside Services	3.2	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	29.6	33.2	105.4	138.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	488.6	549.8	805.6	1,355.4
<b>Fund Total:</b>	488.6	549.8	805.6	1,355.4



## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2500 IGA and ISA Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
1 Policy and Administration	542.9	0.0	0.0	0.0
4 Insurance Fraud Investigation and Deterrence	0.0	0.0	0.0	0.0
	542.9	0.0	0.0	0.0
<b>Expenditure Categories</b>				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	433.8	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	109.1	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	542.9	0.0	0.0	0.0
<b>Fund Total:</b>	542.9	0.0	0.0	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID2975 Title VI - Coronavirus Relief Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
4 Insurance Fraud Investigation and Deterrence	181.5	0.0	0.0	0.0
	181.5	0.0	0.0	0.0
<b>Expenditure Categories</b>				
Personal Services	169.4	0.0	0.0	0.0
Employee Related Expenses	12.1	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	181.5	0.0	0.0	0.0
<b>Fund Total:</b>	181.5	0.0	0.0	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID3023 Receivership Revolving Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
2 Solvency Oversight	68.1	10.6	0.0	10.6
	68.1	10.6	0.0	10.6
<b>Expenditure Categories</b>				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	68.0	10.0	0.0	10.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.1	0.6	0.0	0.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	68.1	10.6	0.0	10.6
<b>Fund Total:</b>	68.1	10.6	0.0	10.6

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID3104 Insurance Receivership Liquidation Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
Cost Center/Program:				
2 Solvency Oversight	126.6	143.2	0.0	143.2
	126.6	143.2	0.0	143.2
<b>Expenditure Categories</b>				
FTE	1.0	1.0	0.0	1.0
Personal Services	91.0	90.9	0.0	90.9
Employee Related Expenses	27.0	33.0	0.0	33.0
Professional and Outside Services	0.3	10.2	0.0	10.2
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.8	1.0	0.0	1.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	6.5	8.1	0.0	8.1
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	126.6	143.2	0.0	143.2
<b>Fund Total:</b>	126.6	143.2	0.0	143.2

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Fund:</b>	ID3104 Insurance Receivership Liquidation Fund (Non-Appropriated)

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Agency Total for Selected Funds</b>	20,631.2	22,022.4	292.3	22,314.7

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>				
1-1 Policy and Administration	2,042.6	2,914.3	0.0	2,914.3
<b>Program Summary Total:</b>	2,042.6	2,914.3	0.0	2,914.3
<b>Expenditure Categories</b>				
0000 FTE Positions	12.0	19.7	0.0	19.7
6000 Personal Services	670.6	1,293.7	0.0	1,293.7
6100 Employee Related Expenses	204.4	536.7	0.0	536.7
6200 Professional and Outside Services	520.0	286.0	0.0	286.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.5	1.1	0.0	1.1
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	553.2	790.4	0.0	790.4
8000 Equipment	82.1	6.4	0.0	6.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	10.8	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	2,042.6	2,914.3	0.0	2,914.3
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,499.5	2,755.4	0.0	2,755.4
ID1998-A Financial Services Fund (Appropriated)	0.0	154.4	0.0	154.4
	1,499.5	2,909.8	0.0	2,909.8
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	0.2	4.5	0.0	4.5
ID2500-N IGA and ISA Fund (Non-Appropriated)	542.9	0.0	0.0	0.0
	543.1	4.5	0.0	4.5
<b>Fund Source Total:</b>	2,042.6	2,914.3	0.0	2,914.3

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	1,499.5	2,755.4	0.0	2,755.4
	Total	1,499.5	2,755.4	0.0	2,755.4

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	12.0	18.7	0.0	18.7
	Personal Services	670.6	1,174.9	0.0	1,174.9
	Employee Related Expenses	204.4	501.1	0.0	501.1
	Professional and Outside Services	86.2	281.5	0.0	281.5
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	1.5	1.1	0.0	1.1
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	443.9	790.4	0.0	790.4
	Equipment	82.1	6.4	0.0	6.4
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	10.8	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		1,499.5	2,755.4	0.0	2,755.4
<b>Fund AA1000-A Total:</b>		1,499.5	2,755.4	0.0	2,755.4
<b>Program 1 Total:</b>		1,499.5	2,755.4	0.0	2,755.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID1998-A Financial Services Fund (Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	0.0	154.4	0.0	154.4
	Total	0.0	154.4	0.0	154.4

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.0	1.0	0.0	1.0
	Personal Services	0.0	118.8	0.0	118.8
	Employee Related Expenses	0.0	35.6	0.0	35.6
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		0.0	154.4	0.0	154.4
<b>Fund ID1998-A Total:</b>		0.0	154.4	0.0	154.4
<b>Program 1 Total:</b>		0.0	154.4	0.0	154.4



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	0.2	4.5	0.0	4.5
	Total	0.2	4.5	0.0	4.5

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	4.5	0.0	4.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.2	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.2</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>
<b>Fund ID2034-N Total:</b>	<b>0.2</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>
<b>Program 1 Total:</b>	<b>0.2</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	542.9	0.0	0.0	0.0
	Total	542.9	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	433.8	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	109.1	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	542.9	0.0	0.0	0.0
<b>Fund ID2500-N Total:</b>	542.9	0.0	0.0	0.0
<b>Program 1 Total:</b>	542.9	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Policy and Administration

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	12.0	19.7	0.0	19.7
6000 Personal Services	670.6	1,293.7	0.0	1,293.7
6100 Employee Related Expenses	204.4	536.7	0.0	536.7
6200 Professional and Outside Services	520.0	286.0	0.0	286.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.5	1.1	0.0	1.1
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	553.2	790.4	0.0	790.4
8000 Equipment	82.1	6.4	0.0	6.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	10.8	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	2,042.6	2,914.3	0.0	2,914.3
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,499.5	2,755.4	0.0	2,755.4
ID1998-A Financial Services Fund (Appropriated)	0.0	154.4	0.0	154.4
	1,499.5	2,909.8	0.0	2,909.8
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	0.2	4.5	0.0	4.5
ID2500-N IGA and ISA Fund (Non-Appropriated)	542.9	0.0	0.0	0.0
	543.1	4.5	0.0	4.5
<b>Fund Source Total:</b>	2,042.6	2,914.3	0.0	2,914.3

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions			
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Policy and Administration			
<b>Fund:</b>	AA1000-A General Fund			
	<b>Appropriated</b>			
0000 FTE	12.0	18.7	0.0	18.7
6000 Personal Services	670.6	1,174.9	0.0	1,174.9
6100 Employee Related Expenses	204.4	501.1	0.0	501.1
6200 Professional and Outside Services	86.2	281.5	0.0	281.5
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.5	1.1	0.0	1.1
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	443.9	790.4	0.0	790.4
8000 Equipment	82.1	6.4	0.0	6.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	10.8	0.0	0.0	0.0
<b>Appropriated Total:</b>	1,499.5	2,755.4	0.0	2,755.4
<b>Fund Total:</b>	1,499.5	2,755.4	0.0	2,755.4
<b>Program Total For Selected Funds:</b>	1,499.5	2,755.4	0.0	2,755.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Policy and Administration					
<b>Fund:</b> ID1998-A Financial Services Fund					
<b>Appropriated</b>					
0000	FTE	0.0	1.0	0.0	1.0
6000	Personal Services	0.0	118.8	0.0	118.8
6100	Employee Related Expenses	0.0	35.6	0.0	35.6
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		0.0	154.4	0.0	154.4
<b>Fund Total:</b>		0.0	154.4	0.0	154.4
<b>Program Total For Selected Funds:</b>		0.0	154.4	0.0	154.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	FY 2020	FY 2021	FY 2022	FY 2022	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Policy and Administration				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	4.5	0.0	4.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.2	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	0.2	4.5	0.0	4.5
	<b>Fund Total:</b>	0.2	4.5	0.0	4.5
	<b>Program Total For Selected Funds:</b>	0.2	4.5	0.0	4.5

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Policy and Administration				
<b>Fund:</b>	ID2500-N IGA and ISA Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	433.8	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	109.1	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	542.9	0.0	0.0	0.0
	<b>Fund Total:</b>	542.9	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	542.9	0.0	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	12.0	19.7
<b>Expenditure Category Total</b>	<b>12.0</b>	<b>19.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	12.0	18.7
ID1998-A Financial Services Fund (Appropriated)	0.0	1.0
<b>Fund Source Total</b>	<b>12.0</b>	<b>19.7</b>
<hr/>		
Personal Services	670.6	1,293.7
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>670.6</b>	<b>1,293.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	670.6	1,174.9
ID1998-A Financial Services Fund (Appropriated)	0.0	118.8
<b>Fund Source Total</b>	<b>670.6</b>	<b>1,293.7</b>
<hr/>		
Employee Related Expenses	204.4	536.7
<b>Expenditure Category Total</b>	<b>204.4</b>	<b>536.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	204.4	501.1
ID1998-A Financial Services Fund (Appropriated)	0.0	35.6
<b>Fund Source Total</b>	<b>204.4</b>	<b>536.7</b>
<hr/>		
Professional and Outside Services		286.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	83.3	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	436.7	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>520.0</b>	<b>286.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	86.2	281.5
	<b>86.2</b>	<b>281.5</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	0.0	4.5
ID2500-N IGA and ISA Fund (Non-Appropriated)	433.8	0.0
	<b>433.8</b>	<b>4.5</b>
<b>Fund Source Total</b>	<b>520.0</b>	<b>286.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	1.5	1.1
<b>Expenditure Category Total</b>	<b>1.5</b>	<b>1.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.5	1.1
	<b>1.5</b>	<b>1.1</b>
<b>Fund Source Total</b>	<b>1.5</b>	<b>1.1</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		790.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	24.1	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	43.8	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	51.9	
Pmt for AFIS Development & Usage	7.9	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	16.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	255.1	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	3.5	
Other Repair And Maintenance	0.9	
Software Support And Maintenance	67.1	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	3.1	
Computer Supplies	4.1	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.1	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	13.6	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.1	
Entertainment And Promotional Items	0.0	
Dues	34.4	
Books- Subscriptions And Publications	25.7	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	1.1	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>553.2</b>	<b>790.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	443.9	790.4
	<b>443.9</b>	<b>790.4</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	0.2	0.0
ID2500-N IGA and ISA Fund (Non-Appropriated)	109.1	0.0
	<b>109.3</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>553.2</b>	<b>790.4</b>
<hr/>		
Current Year Expenditures		6.4
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	82.1	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>82.1</b>	<b>6.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	82.1	6.4
<b>Fund Source Total</b>	<b>82.1</b>	<b>6.4</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	10.8	0.0
<b>Expenditure Category Total</b>	<b>10.8</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	10.8	0.0
<b>Fund Source Total</b>	<b>10.8</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	18.2	1,128.2	AA1000-A
Arizona State Retirement System	1.0	118.8	ID1998-A
ASRS – return to work	0.5	46.7	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$142,800</b>		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.5

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

		FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>					
2-1	Solvency Oversight	7,431.7	6,166.6	110.0	6,276.6
<b>Program Summary Total:</b>		7,431.7	6,166.6	110.0	6,276.6
<b>Expenditure Categories</b>					
0000	FTE Positions	62.2	43.8	0.0	43.8
6000	Personal Services	3,472.8	2,804.9	100.4	2,905.3
6100	Employee Related Expenses	1,304.2	1,104.7	22.4	1,127.1
6200	Professional and Outside Services	1,934.3	1,870.7	0.0	1,870.7
6500	Travel In-State	47.2	0.6	0.0	0.6
6600	Travel Out of State	33.7	24.9	0.0	24.9
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	611.2	299.4	(12.8)	286.6
8000	Equipment	1.4	61.4	0.0	61.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	26.9	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		7,431.7	6,166.6	110.0	6,276.6
<b>Fund Source</b>					
<b>Appropriated Funds</b>					
AA1000-A	General Fund (Appropriated)	1,348.3	829.8	0.0	829.8
ID1998-A	Financial Services Fund (Appropriated)	2,705.6	1,945.6	0.0	1,945.6
		4,053.9	2,775.4	0.0	2,775.4
<b>Non-Appropriated Funds</b>					
ID2034-N	Insurance Examiners Revolving Fund (Non-Appro	2,016.9	2,275.6	(364.0)	1,911.6
ID2126-N	Banking Department Revolving (Non-Appropriate	306.9	0.0	0.0	0.0
ID2377-N	Captive Insurance Regulatory and Supervision Fu	370.7	412.0	0.0	412.0
ID2473-N	Financial Surveillance Fund (Non-Appropriated)	488.6	549.8	474.0	1,023.8
ID3023-N	Receivership Revolving Fund (Non-Appropriated)	68.1	10.6	0.0	10.6
ID3104-N	Insurance Receivership Liquidation Fund (Non-App	126.6	143.2	0.0	143.2
		3,377.8	3,391.2	110.0	3,501.2
<b>Fund Source Total:</b>		7,431.7	6,166.6	110.0	6,276.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Fund:</b> AA1000-A General Fund (Appropriated)				
<b>Program Expenditures</b>				
COST CENTER/PROGRAM BUDGET UNIT				
2-1 Solvency Oversight	1,348.3	829.8	0.0	829.8
Total	1,348.3	829.8	0.0	829.8

### Appropriated Funding

#### Expenditure Categories

FTE Positions	9.3	7.3	0.0	7.3
Personal Services	643.8	377.1	0.0	377.1
Employee Related Expenses	251.5	177.4	0.0	177.4
Professional and Outside Services	103.0	0.0	0.0	0.0
Travel In-State	0.0	0.6	0.0	0.6
Travel Out of State	8.0	9.9	0.0	9.9
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	341.1	203.4	0.0	203.4
Equipment	0.7	61.4	0.0	61.4
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.2	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,348.3	829.8	0.0	829.8
<b>Fund AA1000-A Total:</b>	1,348.3	829.8	0.0	829.8
<b>Program 2 Total:</b>	1,348.3	829.8	0.0	829.8

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID1998-A Financial Services Fund (Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	2,705.6	1,945.6	0.0	1,945.6
	Total	2,705.6	1,945.6	0.0	1,945.6

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	38.7	23.0	0.0	23.0
	Personal Services	1,933.8	1,420.5	0.0	1,420.5
	Employee Related Expenses	732.7	525.1	0.0	525.1
	Professional and Outside Services	36.6	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	2.5	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		2,705.6	1,945.6	0.0	1,945.6
<b>Fund ID1998-A Total:</b>		2,705.6	1,945.6	0.0	1,945.6
<b>Program 2 Total:</b>		2,705.6	1,945.6	0.0	1,945.6



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	2,016.9	2,275.6	(364.0)	1,911.6
	Total	2,016.9	2,275.6	(364.0)	1,911.6

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	2.9	3.7	(2.9)	0.8
	Personal Services	228.5	321.1	(229.6)	91.5
	Employee Related Expenses	79.1	108.1	(79.8)	28.3
	Professional and Outside Services	1,678.9	1,811.9	0.0	1,811.9
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	6.5	4.0	0.0	4.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	23.9	30.5	(54.6)	(24.1)
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		2,016.9	2,275.6	(364.0)	1,911.6
<b>Fund ID2034-N Total:</b>		2,016.9	2,275.6	(364.0)	1,911.6
<b>Program 2 Total:</b>		2,016.9	2,275.6	(364.0)	1,911.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2126-N Banking Department Revolving (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	306.9	0.0	0.0	0.0
	Total	306.9	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	38.1	0.0	0.0	0.0
Travel In-State	47.2	0.0	0.0	0.0
Travel Out of State	10.4	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	183.8	0.0	0.0	0.0
Equipment	0.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	26.7	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>306.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund ID2126-N Total:</b>	<b>306.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Program 2 Total:</b>	<b>306.9</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	370.7	412.0	0.0	412.0
	Total	370.7	412.0	0.0	412.0

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	3.6	3.1	0.0	3.1
	Personal Services	240.0	234.8	0.0	234.8
	Employee Related Expenses	93.8	105.0	0.0	105.0
	Professional and Outside Services	6.2	38.6	0.0	38.6
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	7.0	10.0	0.0	10.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	23.7	23.6	0.0	23.6
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		370.7	412.0	0.0	412.0
<b>Fund ID2377-N Total:</b>		370.7	412.0	0.0	412.0
<b>Program 2 Total:</b>		370.7	412.0	0.0	412.0

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2473-N Financial Surveillance Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solveny Oversight	488.6	549.8	474.0	1,023.8
	Total	488.6	549.8	474.0	1,023.8

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	6.7	5.7	2.9	8.6
	Personal Services	335.7	360.5	330.0	690.5
	Employee Related Expenses	120.1	156.1	102.2	258.3
	Professional and Outside Services	3.2	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	29.6	33.2	41.8	75.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		488.6	549.8	474.0	1,023.8
<b>Fund ID2473-N Total:</b>		488.6	549.8	474.0	1,023.8
<b>Program 2 Total:</b>		488.6	549.8	474.0	1,023.8

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID3023-N Receivership Revolving Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	68.1	10.6	0.0	10.6
	Total	68.1	10.6	0.0	10.6

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	68.0	10.0	0.0	10.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.1	0.6	0.0	0.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>68.1</b>	<b>10.6</b>	<b>0.0</b>	<b>10.6</b>
<b>Fund ID3023-N Total:</b>	<b>68.1</b>	<b>10.6</b>	<b>0.0</b>	<b>10.6</b>
<b>Program 2 Total:</b>	<b>68.1</b>	<b>10.6</b>	<b>0.0</b>	<b>10.6</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Oversight	126.6	143.2	0.0	143.2
	Total	126.6	143.2	0.0	143.2

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	1.0	0.0	1.0
	Personal Services	91.0	90.9	0.0	90.9
	Employee Related Expenses	27.0	33.0	0.0	33.0
	Professional and Outside Services	0.3	10.2	0.0	10.2
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	1.8	1.0	0.0	1.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	6.5	8.1	0.0	8.1
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		126.6	143.2	0.0	143.2
<b>Fund ID3104-N Total:</b>		126.6	143.2	0.0	143.2
<b>Program 2 Total:</b>		126.6	143.2	0.0	143.2

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	62.2	43.8	0.0	43.8
6000 Personal Services	3,472.8	2,804.9	100.4	2,905.3
6100 Employee Related Expenses	1,304.2	1,104.7	22.4	1,127.1
6200 Professional and Outside Services	1,934.3	1,870.7	0.0	1,870.7
6500 Travel In-State	47.2	0.6	0.0	0.6
6600 Travel Out of State	33.7	24.9	0.0	24.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	611.2	299.4	(12.8)	286.6
8000 Equipment	1.4	61.4	0.0	61.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	26.9	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	7,431.7	6,166.6	110.0	6,276.6
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,348.3	829.8	0.0	829.8
ID1998-A Financial Services Fund (Appropriated)	2,705.6	1,945.6	0.0	1,945.6
	4,053.9	2,775.4	0.0	2,775.4
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	2,016.9	2,275.6	(364.0)	1,911.6
ID2126-N Banking Department Revolving (Non-Appropriated)	306.9	0.0	0.0	0.0
ID2377-N Captive Insurance Regulatory and Supervision Fu	370.7	412.0	0.0	412.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	488.6	549.8	474.0	1,023.8
ID3023-N Receivership Revolving Fund (Non-Appropriated)	68.1	10.6	0.0	10.6
ID3104-N Insurance Receivership Liquidation Fund (Non-Ap	126.6	143.2	0.0	143.2
	3,377.8	3,391.2	110.0	3,501.2
<b>Fund Source Total:</b>	7,431.7	6,166.6	110.0	6,276.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Solvency Oversight					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	9.3	7.3	0.0	7.3
6000	Personal Services	643.8	377.1	0.0	377.1
6100	Employee Related Expenses	251.5	177.4	0.0	177.4
6200	Professional and Outside Services	103.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.6	0.0	0.6
6600	Travel Out of State	8.0	9.9	0.0	9.9
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	341.1	203.4	0.0	203.4
8000	Equipment	0.7	61.4	0.0	61.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.2	0.0	0.0	0.0
<b>Appropriated Total:</b>		1,348.3	829.8	0.0	829.8
<b>Fund Total:</b>		1,348.3	829.8	0.0	829.8
<b>Program Total For Selected Funds:</b>		1,348.3	829.8	0.0	829.8



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Solvency Oversight					
<b>Fund:</b> ID1998-A Financial Services Fund					
<b>Appropriated</b>					
0000	FTE	38.7	23.0	0.0	23.0
6000	Personal Services	1,933.8	1,420.5	0.0	1,420.5
6100	Employee Related Expenses	732.7	525.1	0.0	525.1
6200	Professional and Outside Services	36.6	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	2.5	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		2,705.6	1,945.6	0.0	1,945.6
<b>Fund Total:</b>		2,705.6	1,945.6	0.0	1,945.6
<b>Program Total For Selected Funds:</b>		2,705.6	1,945.6	0.0	1,945.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund				
<b>Non-Appropriated</b>					
0000	FTE	2.9	3.7	(2.9)	0.8
6000	Personal Services	228.5	321.1	(229.6)	91.5
6100	Employee Related Expenses	79.1	108.1	(79.8)	28.3
6200	Professional and Outside Services	1,678.9	1,811.9	0.0	1,811.9
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	6.5	4.0	0.0	4.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	23.9	30.5	(54.6)	(24.1)
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		2,016.9	2,275.6	(364.0)	1,911.6
<b>Fund Total:</b>		2,016.9	2,275.6	(364.0)	1,911.6
<b>Program Total For Selected Funds:</b>		2,016.9	2,275.6	(364.0)	1,911.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID2126-N Banking Department Revolving				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	38.1	0.0	0.0	0.0
6500	Travel In-State	47.2	0.0	0.0	0.0
6600	Travel Out of State	10.4	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	183.8	0.0	0.0	0.0
8000	Equipment	0.7	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	26.7	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	306.9	0.0	0.0	0.0
	<b>Fund Total:</b>	306.9	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	306.9	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	FY 2020	FY 2021	FY 2022	FY 2022	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID2377-N Captive Insurance Regulatory and Supervision Fund				
<b>Non-Appropriated</b>					
0000	FTE	3.6	3.1	0.0	3.1
6000	Personal Services	240.0	234.8	0.0	234.8
6100	Employee Related Expenses	93.8	105.0	0.0	105.0
6200	Professional and Outside Services	6.2	38.6	0.0	38.6
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	7.0	10.0	0.0	10.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	23.7	23.6	0.0	23.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		370.7	412.0	0.0	412.0
<b>Fund Total:</b>		370.7	412.0	0.0	412.0
<b>Program Total For Selected Funds:</b>		370.7	412.0	0.0	412.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID2473-N Financial Surveillance Fund				
	<b>Non-Appropriated</b>				
0000	FTE	6.7	5.7	2.9	8.6
6000	Personal Services	335.7	360.5	330.0	690.5
6100	Employee Related Expenses	120.1	156.1	102.2	258.3
6200	Professional and Outside Services	3.2	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	29.6	33.2	41.8	75.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	488.6	549.8	474.0	1,023.8
	<b>Fund Total:</b>	488.6	549.8	474.0	1,023.8
	<b>Program Total For Selected Funds:</b>	488.6	549.8	474.0	1,023.8

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID3023-N Receivership Revolving Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	68.0	10.0	0.0	10.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.1	0.6	0.0	0.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	68.1	10.6	0.0	10.6
	<b>Fund Total:</b>	68.1	10.6	0.0	10.6
	<b>Program Total For Selected Funds:</b>	68.1	10.6	0.0	10.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Solvency Oversight				
<b>Fund:</b>	ID3104-N Insurance Receivership Liquidation Fund				
	<b>Non-Appropriated</b>				
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	91.0	90.9	0.0	90.9
6100	Employee Related Expenses	27.0	33.0	0.0	33.0
6200	Professional and Outside Services	0.3	10.2	0.0	10.2
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	1.8	1.0	0.0	1.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	6.5	8.1	0.0	8.1
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	126.6	143.2	0.0	143.2
	<b>Fund Total:</b>	126.6	143.2	0.0	143.2
	<b>Program Total For Selected Funds:</b>	126.6	143.2	0.0	143.2

## Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions	
Program:	Solvency Oversight	
	FY 2020 Actual	FY 2021 Expd. Plan
FTE	62.2	43.8
<b>Expenditure Category Total</b>	<b>62.2</b>	<b>43.8</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	9.3	7.3
ID1998-A Financial Services Fund (Appropriated)	38.7	23.0
	<b>48.0</b>	<b>30.3</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	2.9	3.7
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	3.6	3.1
ID2473-N Financial Surveillance Fund (Non-Appropriated)	6.7	5.7
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat	1.0	1.0
	<b>14.2</b>	<b>13.5</b>
<b>Fund Source Total</b>	<b>62.2</b>	<b>43.8</b>
<hr/>		
Personal Services	3,472.8	2,804.9
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>3,472.8</b>	<b>2,804.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	643.8	377.1
ID1998-A Financial Services Fund (Appropriated)	1,933.8	1,420.5
	<b>2,577.6</b>	<b>1,797.6</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	228.5	321.1
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	240.0	234.8
ID2473-N Financial Surveillance Fund (Non-Appropriated)	335.7	360.5
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat	91.0	90.9
	<b>895.2</b>	<b>1,007.3</b>
<b>Fund Source Total</b>	<b>3,472.8</b>	<b>2,804.9</b>
<hr/>		
Employee Related Expenses	1,304.2	1,104.7
<b>Expenditure Category Total</b>	<b>1,304.2</b>	<b>1,104.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	251.5	177.4
ID1998-A Financial Services Fund (Appropriated)	732.7	525.1
	<b>984.2</b>	<b>702.5</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	79.1	108.1
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	93.8	105.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	120.1	156.1
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat	27.0	33.0
	<b>320.0</b>	<b>402.2</b>
<b>Fund Source Total</b>	<b>1,304.2</b>	<b>1,104.7</b>
<hr/>		
Professional and Outside Services		1,870.7
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	13.2	
Attorney General Legal Services	179.8	
External Legal Services	56.9	
External Engineer/Architect Cost - Exp	0.0	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Solvency Oversight</b>

	FY 2020 Actual	FY 2021 Expd. Plan
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	1,684.4	
<b>Expenditure Category Total</b>	<b>1,934.3</b>	<b>1,870.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	103.0	0.0
ID1998-A Financial Services Fund (Appropriated)	36.6	0.0
	<b>139.6</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	1,678.9	1,811.9
ID2126-N Banking Department Revolving (Non-Appropriated)	38.1	0.0
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	6.2	38.6
ID2473-N Financial Surveillance Fund (Non-Appropriated)	3.2	0.0
ID3023-N Receivership Revolving Fund (Non-Appropriated)	68.0	10.0
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat	0.3	10.2
	<b>1,794.7</b>	<b>1,870.7</b>
<b>Fund Source Total</b>	<b>1,934.3</b>	<b>1,870.7</b>
<hr/>		
Travel In-State	47.2	0.6
<b>Expenditure Category Total</b>	<b>47.2</b>	<b>0.6</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	0.6
	<b>0.0</b>	<b>0.6</b>
<b>Non-Appropriated</b>		
ID2126-N Banking Department Revolving (Non-Appropriated)	47.2	0.0
	<b>47.2</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>47.2</b>	<b>0.6</b>
<hr/>		
Travel Out of State	33.7	24.9

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Solvency Oversight</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>33.7</b>	<b>24.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.0	9.9
	<b>8.0</b>	<b>9.9</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	6.5	4.0
ID2126-N Banking Department Revolving (Non-Appropriated)	10.4	0.0
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	7.0	10.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	0.0	0.0
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat	1.8	1.0
	<b>25.7</b>	<b>15.0</b>
<b>Fund Source Total</b>	<b>33.7</b>	<b>24.9</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		299.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	10.3	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	83.5	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	20.6	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	141.1	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Solvency Oversight</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Pmt for AFIS Development & Usage	6.8	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	56.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	229.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.7	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	3.1	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	9.5	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	2.6	
Computer Supplies	0.2	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Solvency Oversight</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	9.4	
Other Education And Training Costs	2.2	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.1	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	(4.2)	
Document shredding and Destruction Services	0.1	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.1	
Entertainment And Promotional Items	1.8	
Dues	26.7	
Books- Subscriptions And Publications	6.7	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	4.5	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions		
<b>Program:</b>	Solvency Oversight		
		<b>FY 2020 Actual</b>	<b>FY 2021 Expd. Plan</b>
<b>Expenditure Category Total</b>		<b>611.2</b>	<b>299.4</b>
<b>Appropriated</b>			
AA1000-A General Fund (Appropriated)		341.1	203.4
ID1998-A Financial Services Fund (Appropriated)		2.5	0.0
		<b>343.6</b>	<b>203.4</b>
<b>Non-Appropriated</b>			
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)		23.9	30.5
ID2126-N Banking Department Revolving (Non-Appropriated)		183.8	0.0
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-		23.7	23.6
ID2473-N Financial Surveillance Fund (Non-Appropriated)		29.6	33.2
ID3023-N Receivership Revolving Fund (Non-Appropriated)		0.1	0.6
ID3104-N Insurance Receivership Liquidation Fund (Non-Appropriat		6.5	8.1
		<b>267.6</b>	<b>96.0</b>
<b>Fund Source Total</b>		<b>611.2</b>	<b>299.4</b>
			61.4
Current Year Expenditures			
Capital Equipment Budget And Approp		0.0	
Vehicles Capital Purchase		0.0	
Vehicles Capital Leases		0.0	
Furniture Capital Purchase		0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha		0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase		0.0	
Furniture Capital Leases		0.0	
Computer Equipment Capital Purchase		0.0	
Computer Equipment Capital Lease		0.0	
Telecommunication Equip-Capital Purchase		0.0	
Telecommunication Equip-Capital Lease		0.0	
Other Equipment Capital Purchase		0.0	
Other Equipment Capital Leases		0.0	
Purchased Or Licensed Software-Website		0.0	
Internally Generated Software-Website		0.0	
Development in Progress		0.0	
Right-Of-Way/Easement/Extraction Rights		0.0	
Oth Int Assets purchased, licensed or internally generate		0.0	
Other intangible assets acquired by capital lease		0.0	
Other Capital Asset Purchases		0.0	
Leasehold Improvement-Capital Purchase		0.0	
Other Capital Asset Leases		0.0	
Non-Capital Equip Budget And Approp		0.0	
Vehicles Non-Capital Purchase		0.0	
Vehicles Non-Capital Leases		0.0	
Furniture Non-Capital Purchase		0.2	
Works Of Art And Hist Treas-Non Capital		0.0	
Furniture Non-Capital Leases		0.0	
Computer Equipment Non-Capital Purchase		0.0	
Computer Equipment Non-Capital Lease		0.0	
Telecomm Equip Non-Capital Purchase		0.0	
Telecomm Equip Non-Capital Leases		0.0	
Other Equipment Non-Capital Purchase		1.0	
Weapons Non-Capital Purchase		0.0	
Other Equipment Non-Capital Lease		0.2	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Solvency Oversight</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>1.4</b>	<b>61.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.7	61.4
	<b>0.7</b>	<b>61.4</b>
<b>Non-Appropriated</b>		
ID2126-N Banking Department Revolving (Non-Appropriated)	0.7	0.0
	<b>0.7</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>1.4</b>	<b>61.4</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	26.9	0.0
<b>Expenditure Category Total</b>	<b>26.9</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.2	0.0
	<b>0.2</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2126-N Banking Department Revolving (Non-Appropriated)	26.7	0.0
	<b>26.7</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>26.9</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
<b>Retirement System</b>	<b>FTE</b>	<b>Personal Services</b>	<b>Fund#</b>
Arizona State Retirement System	7.3	377.1	AA1000-A
Arizona State Retirement System	23.0	1,420.5	ID1998-A
Arizona State Retirement System	3.7	321.1	ID2034-N
Arizona State Retirement System	3.1	234.8	ID2377-N
Arizona State Retirement System	5.7	360.5	ID2473-N
Arizona State Retirement System	1.0	90.9	ID3104-N

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$142,800</b>
--

# Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Solvency Oversight

			<u>FY 2020 Actual</u>	<u>FY 2021 Expd. Plan</u>
<b>FICA maximum of \$142,000</b>				
<b>Total FTE</b>	<b>Personal Services</b>	<b>FTE's not eligible for Health, Dental &amp; Life</b>		
0.0	0.0	0.0		

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>				
3-1 Consumer Protection	4,229.4	4,962.5	0.0	4,962.5
3-2 Mental Health Parity	0.0	250.0	(250.0)	0.0
<b>Program Summary Total:</b>	4,229.4	5,212.5	(250.0)	4,962.5
<b>Expenditure Categories</b>				
0000 FTE Positions	45.8	46.2	(1.0)	45.2
6000 Personal Services	2,570.0	2,948.3	(88.3)	2,860.0
6100 Employee Related Expenses	970.1	1,183.4	(29.5)	1,153.9
6200 Professional and Outside Services	399.9	671.5	(127.7)	543.8
6500 Travel In-State	0.1	53.2	0.0	53.2
6600 Travel Out of State	7.3	14.3	0.0	14.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	267.5	293.2	(2.5)	290.7
8000 Equipment	12.0	48.6	(2.0)	46.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	2.5	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	4,229.4	5,212.5	(250.0)	4,962.5
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	2,475.3	2,840.6	(250.0)	2,590.6
ID1998-A Financial Services Fund (Appropriated)	970.8	1,324.7	0.0	1,324.7
ID2126-A Banking Department Revolving (Appropriated)	1.9	50.3	(50.3)	0.0
	3,448.0	4,215.6	(300.3)	3,915.3
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	437.2	536.0	(331.6)	204.4
ID2126-N Banking Department Revolving (Non-Appropriate	0.0	204.3	50.3	254.6
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-	103.5	0.0	0.0	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	240.7	256.6	0.0	256.6
ID2473-N Financial Surveillance Fund (Non-Appropriated)	0.0	0.0	331.6	331.6
	781.4	996.9	50.3	1,047.2
<b>Fund Source Total:</b>	4,229.4	5,212.5	(250.0)	4,962.5



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	2,475.3	2,590.6	0.0	2,590.6
3-2	Mental Health Parity	0.0	250.0	(250.0)	0.0
	Total	2,475.3	2,840.6	(250.0)	2,590.6

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	28.5	27.0	(1.0)	26.0
	Personal Services	1,605.5	1,680.9	(88.3)	1,592.6
	Employee Related Expenses	610.5	717.0	(29.5)	687.5
	Professional and Outside Services	26.2	127.7	(127.7)	0.0
	Travel In-State	0.1	6.0	0.0	6.0
	Travel Out of State	4.7	2.9	0.0	2.9
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	217.4	257.5	(2.5)	255.0
	Equipment	10.8	48.6	(2.0)	46.6
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.1	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	2,475.3	2,840.6	(250.0)	2,590.6
--------------------------------------	---------	---------	---------	---------

<b>Fund AA1000-A Total:</b>	2,475.3	2,840.6	(250.0)	2,590.6
-----------------------------	---------	---------	---------	---------

<b>Program 3 Total:</b>	2,475.3	2,840.6	(250.0)	2,590.6
-------------------------	---------	---------	---------	---------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID1998-A Financial Services Fund (Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	970.8	1,324.7	0.0	1,324.7
	Total	970.8	1,324.7	0.0	1,324.7

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	12.3	15.0	0.0	15.0
	Personal Services	692.0	972.1	0.0	972.1
	Employee Related Expenses	252.9	352.6	0.0	352.6
	Professional and Outside Services	24.3	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	1.6	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		970.8	1,324.7	0.0	1,324.7
<b>Fund ID1998-A Total:</b>		970.8	1,324.7	0.0	1,324.7
<b>Program 3 Total:</b>		970.8	1,324.7	0.0	1,324.7

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	437.2	536.0	(331.6)	204.4
	Total	437.2	536.0	(331.6)	204.4

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	3.0	3.2	(3.0)	0.2
	Personal Services	148.1	221.6	(195.0)	26.6
	Employee Related Expenses	60.5	80.9	(73.0)	7.9
	Professional and Outside Services	208.2	208.2	0.0	208.2
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	2.6	1.0	0.0	1.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	17.8	24.3	(63.6)	(39.3)
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		437.2	536.0	(331.6)	204.4
<b>Fund ID2034-N Total:</b>		437.2	536.0	(331.6)	204.4
<b>Program 3 Total:</b>		437.2	536.0	(331.6)	204.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2126-A Banking Department Revolving (Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	1.9	50.3	(50.3)	0.0
	Total	1.9	50.3	(50.3)	0.0

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	1.9	50.3	(50.3)	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		<b>1.9</b>	<b>50.3</b>	<b>(50.3)</b>	<b>0.0</b>
<b>Fund ID2126-A Total:</b>		<b>1.9</b>	<b>50.3</b>	<b>(50.3)</b>	<b>0.0</b>
<b>Program 3 Total:</b>		<b>1.9</b>	<b>50.3</b>	<b>(50.3)</b>	<b>0.0</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2126-N Banking Department Revolving (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	0.0	204.3	50.3	254.6
	Total	0.0	204.3	50.3	254.6

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	0.0	146.7	50.3	197.0
	Travel In-State	0.0	47.2	0.0	47.2
	Travel Out of State	0.0	10.4	0.0	10.4
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		0.0	204.3	50.3	254.6
<b>Fund ID2126-N Total:</b>		0.0	204.3	50.3	254.6
<b>Program 3 Total:</b>		0.0	204.3	50.3	254.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	103.5	0.0	0.0	0.0
	Total	103.5	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	0.0	0.0	0.0
	Personal Services	50.4	0.0	0.0	0.0
	Employee Related Expenses	20.6	0.0	0.0	0.0
	Professional and Outside Services	8.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	22.1	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	2.4	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		103.5	0.0	0.0	0.0
<b>Fund ID2316-N Total:</b>		103.5	0.0	0.0	0.0
<b>Program 3 Total:</b>		103.5	0.0	0.0	0.0

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2467-N Health Care Appeals Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	240.7	256.6	0.0	256.6
	Total	240.7	256.6	0.0	256.6

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	1.0	0.0	1.0
	Personal Services	74.0	73.7	0.0	73.7
	Employee Related Expenses	25.6	32.9	0.0	32.9
	Professional and Outside Services	131.3	138.6	0.0	138.6
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	8.6	11.4	0.0	11.4
	Equipment	1.2	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		240.7	256.6	0.0	256.6
<b>Fund ID2467-N Total:</b>		240.7	256.6	0.0	256.6
<b>Program 3 Total:</b>		240.7	256.6	0.0	256.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2473-N Financial Surveillance Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Protection	0.0	0.0	331.6	331.6
	Total	0.0	0.0	331.6	331.6

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.0	0.0	3.0	3.0
	Personal Services	0.0	0.0	195.0	195.0
	Employee Related Expenses	0.0	0.0	73.0	73.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	63.6	63.6
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		0.0	0.0	331.6	331.6
<b>Fund ID2473-N Total:</b>		0.0	0.0	331.6	331.6
<b>Program 3 Total:</b>		0.0	0.0	331.6	331.6



## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	45.8	45.2	0.0	45.2
6000 Personal Services	2,570.0	2,860.0	0.0	2,860.0
6100 Employee Related Expenses	970.1	1,153.9	0.0	1,153.9
6200 Professional and Outside Services	399.9	543.8	0.0	543.8
6500 Travel In-State	0.1	53.2	0.0	53.2
6600 Travel Out of State	7.3	14.3	0.0	14.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	267.5	290.7	0.0	290.7
8000 Equipment	12.0	46.6	0.0	46.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	2.5	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	4,229.4	4,962.5	0.0	4,962.5
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	2,475.3	2,590.6	0.0	2,590.6
ID1998-A Financial Services Fund (Appropriated)	970.8	1,324.7	0.0	1,324.7
ID2126-A Banking Department Revolving (Appropriated)	1.9	50.3	(50.3)	0.0
	3,448.0	3,965.6	(50.3)	3,915.3
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	437.2	536.0	(331.6)	204.4
ID2126-N Banking Department Revolving (Non-Appropriated)	0.0	204.3	50.3	254.6
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-	103.5	0.0	0.0	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	240.7	256.6	0.0	256.6
ID2473-N Financial Surveillance Fund (Non-Appropriated)	0.0	0.0	331.6	331.6
	781.4	996.9	50.3	1,047.2
<b>Fund Source Total:</b>				
	4,229.4	4,962.5	0.0	4,962.5

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Consumer Protection					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	28.5	26.0	0.0	26.0
6000	Personal Services	1,605.5	1,592.6	0.0	1,592.6
6100	Employee Related Expenses	610.5	687.5	0.0	687.5
6200	Professional and Outside Services	26.2	0.0	0.0	0.0
6500	Travel In-State	0.1	6.0	0.0	6.0
6600	Travel Out of State	4.7	2.9	0.0	2.9
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	217.4	255.0	0.0	255.0
8000	Equipment	10.8	46.6	0.0	46.6
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.1	0.0	0.0	0.0
<b>Appropriated Total:</b>		2,475.3	2,590.6	0.0	2,590.6
<b>Fund Total:</b>		2,475.3	2,590.6	0.0	2,590.6
<b>Program Total For Selected Funds:</b>		2,475.3	2,590.6	0.0	2,590.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Consumer Protection					
<b>Fund:</b> ID1998-A Financial Services Fund					
<b>Appropriated</b>					
0000	FTE	12.3	15.0	0.0	15.0
6000	Personal Services	692.0	972.1	0.0	972.1
6100	Employee Related Expenses	252.9	352.6	0.0	352.6
6200	Professional and Outside Services	24.3	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1.6	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		970.8	1,324.7	0.0	1,324.7
<b>Fund Total:</b>		970.8	1,324.7	0.0	1,324.7
<b>Program Total For Selected Funds:</b>		970.8	1,324.7	0.0	1,324.7

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Consumer Protection					
<b>Fund:</b> ID2034-N Insurance Examiners Revolving Fund					
<b>Non-Appropriated</b>					
0000	FTE	3.0	3.2	(3.0)	0.2
6000	Personal Services	148.1	221.6	(195.0)	26.6
6100	Employee Related Expenses	60.5	80.9	(73.0)	7.9
6200	Professional and Outside Services	208.2	208.2	0.0	208.2
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	2.6	1.0	0.0	1.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	17.8	24.3	(63.6)	(39.3)
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		437.2	536.0	(331.6)	204.4
<b>Fund Total:</b>		437.2	536.0	(331.6)	204.4
<b>Program Total For Selected Funds:</b>		437.2	536.0	(331.6)	204.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Consumer Protection				
<b>Fund:</b>	ID2126-A Banking Department Revolving				
	<b>Appropriated</b>				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	1.9	50.3	(50.3)	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Appropriated Total:</b>	1.9	50.3	(50.3)	0.0
	<b>Fund Total:</b>	1.9	50.3	(50.3)	0.0
	<b>Program Total For Selected Funds:</b>	1.9	50.3	(50.3)	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Consumer Protection				
<b>Fund:</b>	ID2126-N Banking Department Revolving				
	<b>Non-Appropriated</b>				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	146.7	50.3	197.0
6500	Travel In-State	0.0	47.2	0.0	47.2
6600	Travel Out of State	0.0	10.4	0.0	10.4
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	0.0	204.3	50.3	254.6
	<b>Fund Total:</b>	0.0	204.3	50.3	254.6
	<b>Program Total For Selected Funds:</b>	0.0	204.3	50.3	254.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Consumer Protection				
<b>Fund:</b>	ID2316-N Assessment Fund for Voluntary Plans Fund				
	<b>Non-Appropriated</b>				
0000	FTE	1.0	0.0	0.0	0.0
6000	Personal Services	50.4	0.0	0.0	0.0
6100	Employee Related Expenses	20.6	0.0	0.0	0.0
6200	Professional and Outside Services	8.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	22.1	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	2.4	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	103.5	0.0	0.0	0.0
	<b>Fund Total:</b>	103.5	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	103.5	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Consumer Protection					
<b>Fund:</b> ID2467-N Health Care Appeals Fund					
<b>Non-Appropriated</b>					
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	74.0	73.7	0.0	73.7
6100	Employee Related Expenses	25.6	32.9	0.0	32.9
6200	Professional and Outside Services	131.3	138.6	0.0	138.6
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	8.6	11.4	0.0	11.4
8000	Equipment	1.2	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		240.7	256.6	0.0	256.6
<b>Fund Total:</b>		240.7	256.6	0.0	256.6
<b>Program Total For Selected Funds:</b>		240.7	256.6	0.0	256.6



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Consumer Protection					
<b>Fund:</b> ID2473-N Financial Surveillance Fund					
<b>Non-Appropriated</b>					
0000	FTE	0.0	0.0	3.0	3.0
6000	Personal Services	0.0	0.0	195.0	195.0
6100	Employee Related Expenses	0.0	0.0	73.0	73.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	63.6	63.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		0.0	0.0	331.6	331.6
<b>Fund Total:</b>		0.0	0.0	331.6	331.6
<b>Program Total For Selected Funds:</b>		0.0	0.0	331.6	331.6

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	45.8	45.2
<b>Expenditure Category Total</b>	<b>45.8</b>	<b>45.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	28.5	26.0
ID1998-A Financial Services Fund (Appropriated)	12.3	15.0
	<b>40.8</b>	<b>41.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	3.0	3.2
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	1.0	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	1.0	1.0
	<b>5.0</b>	<b>4.2</b>
<b>Fund Source Total</b>	<b>45.8</b>	<b>45.2</b>
<hr/>		
Personal Services	2,570.0	2,860.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>2,570.0</b>	<b>2,860.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1,605.5	1,592.6
ID1998-A Financial Services Fund (Appropriated)	692.0	972.1
	<b>2,297.5</b>	<b>2,564.7</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	148.1	221.6
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	50.4	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	74.0	73.7
	<b>272.5</b>	<b>295.3</b>
<b>Fund Source Total</b>	<b>2,570.0</b>	<b>2,860.0</b>
<hr/>		
Employee Related Expenses	970.1	1,153.9
<b>Expenditure Category Total</b>	<b>970.1</b>	<b>1,153.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	610.5	687.5
ID1998-A Financial Services Fund (Appropriated)	252.9	352.6
	<b>863.4</b>	<b>1,040.1</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	60.5	80.9
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	20.6	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	25.6	32.9
	<b>106.7</b>	<b>113.8</b>
<b>Fund Source Total</b>	<b>970.1</b>	<b>1,153.9</b>
<hr/>		
Professional and Outside Services		543.8
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	71.3	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	328.6	
<b>Expenditure Category Total</b>	<b>399.9</b>	<b>543.8</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	26.2	0.0
ID1998-A Financial Services Fund (Appropriated)	24.3	0.0
ID2126-A Banking Department Revolving (Appropriated)	1.9	50.3
	<b>52.4</b>	<b>50.3</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	208.2	208.2
ID2126-N Banking Department Revolving (Non-Appropriated)	0.0	146.7
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	8.0	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	131.3	138.6
	<b>347.5</b>	<b>493.5</b>
<b>Fund Source Total</b>	<b>399.9</b>	<b>543.8</b>
<hr/>		
Travel In-State	0.1	53.2
<b>Expenditure Category Total</b>	<b>0.1</b>	<b>53.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.1	6.0
	<b>0.1</b>	<b>6.0</b>
<b>Non-Appropriated</b>		
ID2126-N Banking Department Revolving (Non-Appropriated)	0.0	47.2
	<b>0.0</b>	<b>47.2</b>
<b>Fund Source Total</b>	<b>0.1</b>	<b>53.2</b>
<hr/>		
Travel Out of State	7.3	14.3
<b>Expenditure Category Total</b>	<b>7.3</b>	<b>14.3</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	4.7	2.9
	<b>4.7</b>	<b>2.9</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	2.6	1.0
ID2126-N Banking Department Revolving (Non-Appropriated)	0.0	10.4
	<b>2.6</b>	<b>11.4</b>
<b>Fund Source Total</b>	<b>7.3</b>	<b>14.3</b>
<hr/>		
Food	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		290.7
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	6.8	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	46.9	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	1.9	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	39.9	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	136.0	
Rental Of Land And Buildings	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.5	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	4.8	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	1.3	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.8	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	1.0	
Other Education And Training Costs	3.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	(2.5)	
Document shredding and Destruction Services	1.5	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Awards	0.1	
Entertainment And Promotional Items	0.0	
Dues	2.4	
Books- Subscriptions And Publications	0.1	
Costs For Digital Image Or Microfilm	17.4	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	5.2	
<b>Expenditure Category Total</b>	<b>267.5</b>	<b>290.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	217.4	255.0
ID1998-A Financial Services Fund (Appropriated)	1.6	0.0
	<b>219.0</b>	<b>255.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	17.8	24.3
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	22.1	0.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	8.6	11.4
	<b>48.5</b>	<b>35.7</b>
<b>Fund Source Total</b>	<b>267.5</b>	<b>290.7</b>
<hr/>		
Current Year Expenditures		46.6
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Consumer Protection</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.9	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	10.6	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.3	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.2	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>12.0</b>	<b>46.6</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	10.8	46.6
	<b>10.8</b>	<b>46.6</b>
<b>Non-Appropriated</b>		
ID2467-N Health Care Appeals Fund (Non-Appropriated)	1.2	0.0
	<b>1.2</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>12.0</b>	<b>46.6</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Consumer Protection

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	2.5	0.0
<b>Expenditure Category Total</b>	<b>2.5</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.1	0.0
	<b>0.1</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	2.4	0.0
	<b>2.4</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>2.5</b>	<b>0.0</b>

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	26.0	1,592.6	AA1000-A
Arizona State Retirement System	15.0	972.1	ID1998-A
Arizona State Retirement System	3.2	221.6	ID2034-N
Arizona State Retirement System	1.0	73.7	ID2467-N

### Combined Regular & Elected Positions At/Above FICA Maximum of \$142,800

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0



## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Mental Health Parity

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	0.0	1.0	(1.0)	0.0
6000 Personal Services	0.0	88.3	(88.3)	0.0
6100 Employee Related Expenses	0.0	29.5	(29.5)	0.0
6200 Professional and Outside Services	0.0	127.7	(127.7)	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	2.5	(2.5)	0.0
8000 Equipment	0.0	2.0	(2.0)	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	250.0	(250.0)	0.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	0.0	250.0	(250.0)	0.0
	0.0	250.0	(250.0)	0.0
<b>Fund Source Total:</b>	0.0	250.0	(250.0)	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Mental Health Parity					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	0.0	1.0	(1.0)	0.0
6000	Personal Services	0.0	88.3	(88.3)	0.0
6100	Employee Related Expenses	0.0	29.5	(29.5)	0.0
6200	Professional and Outside Services	0.0	127.7	(127.7)	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	2.5	(2.5)	0.0
8000	Equipment	0.0	2.0	(2.0)	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		0.0	250.0	(250.0)	0.0
<b>Fund Total:</b>		0.0	250.0	(250.0)	0.0
<b>Program Total For Selected Funds:</b>		0.0	250.0	(250.0)	0.0

## Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions	
Program:	Mental Health Parity	
	FY 2020 Actual	FY 2021 Expd. Plan
FTE	0.0	1.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>1.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	1.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>1.0</b>
<hr/>		
Personal Services	0.0	88.3
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>88.3</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	88.3
<b>Fund Source Total</b>	<b>0.0</b>	<b>88.3</b>
<hr/>		
Employee Related Expenses	0.0	29.5
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>29.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	29.5
<b>Fund Source Total</b>	<b>0.0</b>	<b>29.5</b>
<hr/>		
Professional and Outside Services		127.7
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Mental Health Parity</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>127.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	127.7
<b>Fund Source Total</b>	<b>0.0</b>	<b>127.7</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		2.5
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Mental Health Parity</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Mental Health Parity</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>2.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	2.5
	<b>0.0</b>	<b>2.5</b>
<b>Fund Source Total</b>	<b>0.0</b>	<b>2.5</b>
<hr/>		
Current Year Expenditures		2.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Mental Health Parity</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>2.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	2.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>2.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Mental Health Parity

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	1.0	88.3	AA1000-A

### Combined Regular & Elected Positions At/Above FICA Maximum of \$142,800

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0



## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>				
4-1 Insurance Fraud Investigation and Deterrence	971.8	1,158.0	(1,158.0)	0.0
4-2 SLI Insurance Fraud Unit	0.0	0.0	1,158.0	1,158.0
<b>Program Summary Total:</b>	971.8	1,158.0	0.0	1,158.0
<b>Expenditure Categories</b>				
0000 FTE Positions	7.0	9.0	0.0	9.0
6000 Personal Services	483.6	581.2	0.0	581.2
6100 Employee Related Expenses	164.4	244.7	0.0	244.7
6200 Professional and Outside Services	231.8	243.5	0.0	243.5
6500 Travel In-State	16.1	18.5	0.0	18.5
6600 Travel Out of State	1.6	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	74.3	70.1	0.0	70.1
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	971.8	1,158.0	0.0	1,158.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	790.3	1,158.0	0.0	1,158.0
	790.3	1,158.0	0.0	1,158.0
<b>Non-Appropriated Funds</b>				
ID2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.0	0.0	0.0
ID2975-N Title VI - Coronavirus Relief Fund (Non-Appropriat	181.5	0.0	0.0	0.0
	181.5	0.0	0.0	0.0
<b>Fund Source Total:</b>	971.8	1,158.0	0.0	1,158.0

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
4-1	Insurance Fraud Investigation and Deterrence	790.3	1,158.0	(1,158.0)	0.0
4-2	SLI Insurance Fraud Unit	0.0	0.0	1,158.0	1,158.0
	Total	790.3	1,158.0	0.0	1,158.0

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	7.0	9.0	0.0	9.0
	Personal Services	314.2	581.2	0.0	581.2
	Employee Related Expenses	152.3	244.7	0.0	244.7
	Professional and Outside Services	231.8	243.5	0.0	243.5
	Travel In-State	16.1	18.5	0.0	18.5
	Travel Out of State	1.6	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	74.3	70.1	0.0	70.1
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	790.3	1,158.0	0.0	1,158.0
--------------------------------------	-------	---------	-----	---------

<b>Fund AA1000-A Total:</b>	790.3	1,158.0	0.0	1,158.0
-----------------------------	-------	---------	-----	---------

<b>Program 4 Total:</b>	790.3	1,158.0	0.0	1,158.0
-------------------------	-------	---------	-----	---------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
4-1	Insurance Fraud Investigation and Deterrence	0.0	0.0	0.0	0.0
	Total	0.0	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund ID2500-N Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Program 4 Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2975-N Title VI - Coronavirus Relief Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
4-1	Insurance Fraud Investigation and Deterrence	181.5	0.0	0.0	0.0
	Total	181.5	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

	Personal Services	169.4	0.0	0.0	0.0
	Employee Related Expenses	12.1	0.0	0.0	0.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		181.5	0.0	0.0	0.0
<b>Fund ID2975-N Total:</b>		181.5	0.0	0.0	0.0
<b>Program 4 Total:</b>		181.5	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	7.0	9.0	(9.0)	0.0
6000 Personal Services	483.6	581.2	(581.2)	0.0
6100 Employee Related Expenses	164.4	244.7	(244.7)	0.0
6200 Professional and Outside Services	231.8	243.5	(243.5)	0.0
6500 Travel In-State	16.1	18.5	(18.5)	0.0
6600 Travel Out of State	1.6	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	74.3	70.1	(70.1)	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	971.8	1,158.0	(1,158.0)	0.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	790.3	1,158.0	(1,158.0)	0.0
	790.3	1,158.0	(1,158.0)	0.0
<b>Non-Appropriated Funds</b>				
ID2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.0	0.0	0.0
ID2975-N Title VI - Coronavirus Relief Fund (Non-Appropriat	181.5	0.0	0.0	0.0
	181.5	0.0	0.0	0.0
<b>Fund Source Total:</b>	971.8	1,158.0	(1,158.0)	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions			
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Insurance Fraud Investigation and Deterrence			
<b>Fund:</b>	AA1000-A General Fund			
	<b>Appropriated</b>			
0000 FTE	7.0	9.0	(9.0)	0.0
6000 Personal Services	314.2	581.2	(581.2)	0.0
6100 Employee Related Expenses	152.3	244.7	(244.7)	0.0
6200 Professional and Outside Services	231.8	243.5	(243.5)	0.0
6500 Travel In-State	16.1	18.5	(18.5)	0.0
6600 Travel Out of State	1.6	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	74.3	70.1	(70.1)	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>	790.3	1,158.0	(1,158.0)	0.0
<b>Fund Total:</b>	790.3	1,158.0	(1,158.0)	0.0
<b>Program Total For Selected Funds:</b>	790.3	1,158.0	(1,158.0)	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	FY 2020	FY 2021	FY 2022	FY 2022	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Insurance Fraud Investigation and Deterrence				
<b>Fund:</b>	ID2500-N IGA and ISA Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	0.0	0.0	0.0	0.0
	<b>Fund Total:</b>	0.0	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	0.0	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Insurance Fraud Investigation and Deterrence				
<b>Fund:</b>	ID2975-N Title VI - Coronavirus Relief Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	169.4	0.0	0.0	0.0
6100	Employee Related Expenses	12.1	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	181.5	0.0	0.0	0.0
	<b>Fund Total:</b>	181.5	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	181.5	0.0	0.0	0.0



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Insurance Fraud Investigation and Deterrence</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	7.0	9.0
<b>Expenditure Category Total</b>	<b>7.0</b>	<b>9.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	7.0	9.0
<b>Fund Source Total</b>	<b>7.0</b>	<b>9.0</b>
<hr/>		
Personal Services	483.6	581.2
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>483.6</b>	<b>581.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	314.2	581.2
<b>Fund Source Total</b>	<b>314.2</b>	<b>581.2</b>
<b>Non-Appropriated</b>		
ID2975-N Title VI - Coronavirus Relief Fund (Non-Appropriated)	169.4	0.0
<b>Fund Source Total</b>	<b>169.4</b>	<b>0.0</b>
<hr/>		
Employee Related Expenses	164.4	244.7
<b>Expenditure Category Total</b>	<b>164.4</b>	<b>244.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	152.3	244.7
<b>Fund Source Total</b>	<b>152.3</b>	<b>244.7</b>
<b>Non-Appropriated</b>		
ID2975-N Title VI - Coronavirus Relief Fund (Non-Appropriated)	12.1	0.0
<b>Fund Source Total</b>	<b>12.1</b>	<b>0.0</b>
<hr/>		
Professional and Outside Services		243.5
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	229.1	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	2.3	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Insurance Fraud Investigation and Deterrence</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Professional And Outside Services	0.4	
<b>Expenditure Category Total</b>	<b>231.8</b>	<b>243.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	231.8	243.5
<b>Fund Source Total</b>	<b>231.8</b>	<b>243.5</b>
<hr/>		
Travel In-State	16.1	18.5
<b>Expenditure Category Total</b>	<b>16.1</b>	<b>18.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	16.1	18.5
<b>Fund Source Total</b>	<b>16.1</b>	<b>18.5</b>
<hr/>		
Travel Out of State	1.6	0.0
<b>Expenditure Category Total</b>	<b>1.6</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.6	0.0
<b>Fund Source Total</b>	<b>1.6</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		70.1
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	4.2	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Insurance Fraud Investigation and Deterrence</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	1.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	10.2	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	5.4	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	44.6	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	1.4	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.3	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	2.8	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	1.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.1	
Repair And Maintenance Supplies-Building	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Insurance Fraud Investigation and Deterrence</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.1	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.6	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.9	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	1.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Insurance Fraud Investigation and Deterrence</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>74.3</b>	<b>70.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	74.3	70.1
<b>Fund Source Total</b>	<b>74.3</b>	<b>70.1</b>

Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Insurance Fraud Investigation and Deterrence

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<b>Non-Appropriated</b>		
ID2500-N IGA and ISA Fund (Non-Appropriated)	<u>0.0</u>	<u>0.0</u>
<b>Fund Source Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Capital Outlay	<u>0.0</u>	<u>0.0</u>
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Debt Service	<u>0.0</u>	<u>0.0</u>
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Cost Allocation	<u>0.0</u>	<u>0.0</u>
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Transfers	<u>0.0</u>	<u>0.0</u>
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>

<b>Employee Retirement Coverage</b>
-------------------------------------

<b>Retirement System</b>	FTE	Personal Services	Fund#
Arizona State Retirement System	9.0	581.2	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$142,800</b>
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	SLI Insurance Fraud Unit

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	0.0	0.0	9.0	9.0
6000 Personal Services	0.0	0.0	581.2	581.2
6100 Employee Related Expenses	0.0	0.0	244.7	244.7
6200 Professional and Outside Services	0.0	0.0	243.5	243.5
6500 Travel In-State	0.0	0.0	18.5	18.5
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	0.0	70.1	70.1
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	1,158.0	1,158.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	0.0	0.0	1,158.0	1,158.0
	0.0	0.0	1,158.0	1,158.0
<b>Fund Source Total:</b>	0.0	0.0	1,158.0	1,158.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> SLI Insurance Fraud Unit					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	0.0	0.0	9.0	9.0
6000	Personal Services	0.0	0.0	581.2	581.2
6100	Employee Related Expenses	0.0	0.0	244.7	244.7
6200	Professional and Outside Services	0.0	0.0	243.5	243.5
6500	Travel In-State	0.0	0.0	18.5	18.5
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	70.1	70.1
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		0.0	0.0	1,158.0	1,158.0
<b>Fund Total:</b>		0.0	0.0	1,158.0	1,158.0
<b>Program Total For Selected Funds:</b>		0.0	0.0	1,158.0	1,158.0



## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

		FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>					
5-1	Licensing	721.6	1,239.6	0.0	1,239.6
<b>Program Summary Total:</b>		721.6	1,239.6	0.0	1,239.6
<b>Expenditure Categories</b>					
0000	FTE Positions	17.8	17.8	0.0	17.8
6000	Personal Services	383.3	744.1	0.0	744.1
6100	Employee Related Expenses	175.8	348.5	0.0	348.5
6200	Professional and Outside Services	137.5	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.4	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	14.7	147.0	0.0	147.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	9.9	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		721.6	1,239.6	0.0	1,239.6
<b>Fund Source</b>					
<b>Appropriated Funds</b>					
AA1000-A	General Fund (Appropriated)	584.1	506.9	0.0	506.9
ID1998-A	Financial Services Fund (Appropriated)	0.0	732.7	0.0	732.7
		584.1	1,239.6	0.0	1,239.6
<b>Non-Appropriated Funds</b>					
ID2034-N	Insurance Examiners Revolving Fund (Non-Appro)	137.5	0.0	0.0	0.0
		137.5	0.0	0.0	0.0
<b>Fund Source Total:</b>		721.6	1,239.6	0.0	1,239.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

5-1	Licensing	584.1	506.9	0.0	506.9
	Total	584.1	506.9	0.0	506.9

### Appropriated Funding

#### Expenditure Categories

FTE Positions	5.8	5.8	0.0	5.8
Personal Services	383.3	233.7	0.0	233.7
Employee Related Expenses	175.8	126.2	0.0	126.2
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.4	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	14.7	147.0	0.0	147.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	9.9	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	584.1	506.9	0.0	506.9
--------------------------------------	-------	-------	-----	-------

<b>Fund AA1000-A Total:</b>	584.1	506.9	0.0	506.9
-----------------------------	-------	-------	-----	-------

<b>Program 5 Total:</b>	584.1	506.9	0.0	506.9
-------------------------	-------	-------	-----	-------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID1998-A Financial Services Fund (Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

5-1	Licensing	0.0	732.7	0.0	732.7
	Total	0.0	732.7	0.0	732.7

### Appropriated Funding

#### Expenditure Categories

FTE Positions	12.0	12.0	0.0	12.0
Personal Services	0.0	510.4	0.0	510.4
Employee Related Expenses	0.0	222.3	0.0	222.3
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	0.0	732.7	0.0	732.7
--------------------------------------	-----	-------	-----	-------

<b>Fund ID1998-A Total:</b>	0.0	732.7	0.0	732.7
-----------------------------	-----	-------	-----	-------

<b>Program 5 Total:</b>	0.0	732.7	0.0	732.7
-------------------------	-----	-------	-----	-------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

5-1	Licensing	137.5	0.0	0.0	0.0
	Total	137.5	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	137.5	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	137.5	0.0	0.0	0.0
--------------------------------------	-------	-----	-----	-----

<b>Fund ID2034-N Total:</b>	137.5	0.0	0.0	0.0
-----------------------------	-------	-----	-----	-----

<b>Program 5 Total:</b>	137.5	0.0	0.0	0.0
-------------------------	-------	-----	-----	-----

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	17.8	17.8	0.0	17.8
6000 Personal Services	383.3	744.1	0.0	744.1
6100 Employee Related Expenses	175.8	348.5	0.0	348.5
6200 Professional and Outside Services	137.5	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.4	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	14.7	147.0	0.0	147.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	9.9	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	721.6	1,239.6	0.0	1,239.6
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	584.1	506.9	0.0	506.9
ID1998-A Financial Services Fund (Appropriated)	0.0	732.7	0.0	732.7
	584.1	1,239.6	0.0	1,239.6
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving Fund (Non-Appro	137.5	0.0	0.0	0.0
	137.5	0.0	0.0	0.0
<b>Fund Source Total:</b>	721.6	1,239.6	0.0	1,239.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Licensing					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	5.8	5.8	0.0	5.8
6000	Personal Services	383.3	233.7	0.0	233.7
6100	Employee Related Expenses	175.8	126.2	0.0	126.2
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.4	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	14.7	147.0	0.0	147.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	9.9	0.0	0.0	0.0
<b>Appropriated Total:</b>		584.1	506.9	0.0	506.9
<b>Fund Total:</b>		584.1	506.9	0.0	506.9
<b>Program Total For Selected Funds:</b>		584.1	506.9	0.0	506.9

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Licensing					
<b>Fund:</b> ID1998-A Financial Services Fund					
<b>Appropriated</b>					
0000	FTE	12.0	12.0	0.0	12.0
6000	Personal Services	0.0	510.4	0.0	510.4
6100	Employee Related Expenses	0.0	222.3	0.0	222.3
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		0.0	732.7	0.0	732.7
<b>Fund Total:</b>		0.0	732.7	0.0	732.7
<b>Program Total For Selected Funds:</b>		0.0	732.7	0.0	732.7

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Licensing				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	137.5	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	137.5	0.0	0.0	0.0
	<b>Fund Total:</b>	137.5	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	137.5	0.0	0.0	0.0



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	17.8	17.8
<b>Expenditure Category Total</b>	<b>17.8</b>	<b>17.8</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	5.8	5.8
ID1998-A Financial Services Fund (Appropriated)	12.0	12.0
<b>Fund Source Total</b>	<b>17.8</b>	<b>17.8</b>
<hr/>		
Personal Services	383.3	744.1
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>383.3</b>	<b>744.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	383.3	233.7
ID1998-A Financial Services Fund (Appropriated)	0.0	510.4
<b>Fund Source Total</b>	<b>383.3</b>	<b>744.1</b>
<hr/>		
Employee Related Expenses	175.8	348.5
<b>Expenditure Category Total</b>	<b>175.8</b>	<b>348.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	175.8	126.2
ID1998-A Financial Services Fund (Appropriated)	0.0	222.3
<b>Fund Source Total</b>	<b>175.8</b>	<b>348.5</b>
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	137.5	

## Program Expenditure Schedule

Agency:	Department of Insurance Financial Institutions	
Program:	Licensing	
	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>137.5</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving Fund (Non-Appropriated)	137.5	0.0
<b>Fund Source Total</b>	<b>137.5</b>	<b>0.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.4	0.0
<b>Expenditure Category Total</b>	<b>0.4</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.4	0.0
<b>Fund Source Total</b>	<b>0.4</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		147.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2020 Actual	FY 2021 Expd. Plan
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	5.7	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	1.2	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	4.9	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	2.6	
<b>Expenditure Category Total</b>	<b>14.7</b>	<b>147.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	14.7	147.0
	<b>14.7</b>	<b>147.0</b>
<b>Fund Source Total</b>	<b>14.7</b>	<b>147.0</b>
Current Year Expenditures		0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Licensing

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	9.9	0.0
<b>Expenditure Category Total</b>	<b>9.9</b>	<b>0.0</b>
<hr/>		
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	9.9	0.0
<b>Fund Source Total</b>	<b>9.9</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
	FTE	Personal Services	Fund#
Arizona State Retirement System	5.8	233.7	AA1000-A
Arizona State Retirement System	12.0	510.4	ID1998-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$142,800</b>		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Automobile Theft Authority

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Program Summary</b>				
6-1 Automobile Theft Authority	626.7	673.7	(1.4)	672.3
6-2 SLI ATA Reimbursable Programs	0.0	50.0	0.0	50.0
6-3 SLI ATA Vehicle Theft Task Force	3,650.0	3,650.0	433.7	4,083.7
6-4 SLI Local Grants	957.4	957.7	0.0	957.7
<b>Program Summary Total:</b>	5,234.1	5,331.4	432.3	5,763.7
<b>Expenditure Categories</b>				
0000 FTE Positions	6.0	6.0	0.0	6.0
6000 Personal Services	300.2	346.6	0.0	346.6
6100 Employee Related Expenses	109.4	131.4	0.0	131.4
6200 Professional and Outside Services	9.9	16.1	0.0	16.1
6500 Travel In-State	3.3	6.2	0.0	6.2
6600 Travel Out of State	2.6	3.8	0.0	3.8
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	943.4	982.7	0.0	982.7
7000 Other Operating Expenses	114.5	117.5	0.0	117.5
8000 Equipment	0.1	50.0	0.0	50.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	3,750.7	3,677.1	432.3	4,109.4
<b>Expenditure Categories Total:</b>	5,234.1	5,331.4	432.3	5,763.7
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
ID2060-A Automobile Theft Authority Fund (Appropriated)	5,234.1	5,330.0	433.7	5,763.7
	5,234.1	5,330.0	433.7	5,763.7
<b>Non-Appropriated Funds</b>				
ID1991-N Auto Theft SIMS Settlement (Non-Appropriated)	0.0	1.4	(1.4)	0.0
	0.0	1.4	(1.4)	0.0
<b>Fund Source Total:</b>	5,234.1	5,331.4	432.3	5,763.7

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Automobile Theft Authority

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID1991-N Auto Theft SIMS Settlement (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
6-1	Automobile Theft Authority	0.0	1.4	(1.4)	0.0
	Total	0.0	1.4	(1.4)	0.0

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	1.4	(1.4)	0.0
<b>Expenditure Categories Total:</b>		0.0	1.4	(1.4)	0.0
<b>Fund ID1991-N Total:</b>		0.0	1.4	(1.4)	0.0
<b>Program 6 Total:</b>		0.0	1.4	(1.4)	0.0



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Automobile Theft Authority

	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
<b>Fund: ID2060-A Automobile Theft Authority Fund (Appropriated)</b>				
<b>Program Expenditures</b>				
COST CENTER/PROGRAM BUDGET UNIT				
6-1 Automobile Theft Authority	626.7	672.3	0.0	672.3
6-2 SLI ATA Reimbursable Programs	0.0	50.0	0.0	50.0
6-3 SLI ATA Vehicle Theft Task Force	3,650.0	3,650.0	433.7	4,083.7
6-4 SLI Local Grants	957.4	957.7	0.0	957.7
Total	5,234.1	5,330.0	433.7	5,763.7

### Appropriated Funding

#### Expenditure Categories

FTE Positions	6.0	6.0	0.0	6.0
Personal Services	300.2	346.6	0.0	346.6
Employee Related Expenses	109.4	131.4	0.0	131.4
Professional and Outside Services	9.9	16.1	0.0	16.1
Travel In-State	3.3	6.2	0.0	6.2
Travel Out of State	2.6	3.8	0.0	3.8
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	943.4	982.7	0.0	982.7
Other Operating Expenses	114.5	117.5	0.0	117.5
Equipment	0.1	50.0	0.0	50.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	3,750.7	3,675.7	433.7	4,109.4
<b>Expenditure Categories Total:</b>	5,234.1	5,330.0	433.7	5,763.7
<b>Fund ID2060-A Total:</b>	5,234.1	5,330.0	433.7	5,763.7
<b>Program 6 Total:</b>	5,234.1	5,330.0	433.7	5,763.7

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Automobile Theft Authority

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	6.0	6.0	0.0	6.0
6000 Personal Services	300.2	346.6	0.0	346.6
6100 Employee Related Expenses	109.4	131.4	0.0	131.4
6200 Professional and Outside Services	9.9	16.1	0.0	16.1
6500 Travel In-State	3.3	6.2	0.0	6.2
6600 Travel Out of State	2.6	3.8	0.0	3.8
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	114.5	117.5	0.0	117.5
8000 Equipment	0.1	25.0	0.0	25.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	86.7	27.1	(1.4)	25.7
<b>Expenditure Categories Total:</b>	626.7	673.7	(1.4)	672.3
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
ID2060-A Automobile Theft Authority Fund (Appropriated)	626.7	672.3	0.0	672.3
	626.7	672.3	0.0	672.3
<b>Non-Appropriated Funds</b>				
ID1991-N Auto Theft SIMS Settlement (Non-Appropriated)	0.0	1.4	(1.4)	0.0
	0.0	1.4	(1.4)	0.0
<b>Fund Source Total:</b>	626.7	673.7	(1.4)	672.3

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Automobile Theft Authority				
<b>Fund:</b>	ID1991-N Auto Theft SIMS Settlement				
	<b>Non-Appropriated</b>				
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	1.4	(1.4)	0.0
	<b>Non-Appropriated Total:</b>	0.0	1.4	(1.4)	0.0
	<b>Fund Total:</b>	0.0	1.4	(1.4)	0.0
	<b>Program Total For Selected Funds:</b>	0.0	1.4	(1.4)	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance Financial Institutions					
		<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Automobile Theft Authority					
<b>Fund:</b> ID2060-A Automobile Theft Authority Fund					
<b>Appropriated</b>					
0000	FTE	6.0	6.0	0.0	6.0
6000	Personal Services	300.2	346.6	0.0	346.6
6100	Employee Related Expenses	109.4	131.4	0.0	131.4
6200	Professional and Outside Services	9.9	16.1	0.0	16.1
6500	Travel In-State	3.3	6.2	0.0	6.2
6600	Travel Out of State	2.6	3.8	0.0	3.8
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	114.5	117.5	0.0	117.5
8000	Equipment	0.1	25.0	0.0	25.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	86.7	25.7	0.0	25.7
<b>Appropriated Total:</b>		626.7	672.3	0.0	672.3
<b>Fund Total:</b>		626.7	672.3	0.0	672.3
<b>Program Total For Selected Funds:</b>		626.7	672.3	0.0	672.3

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Automobile Theft Authority</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	6.0	6.0
<b>Expenditure Category Total</b>	<b>6.0</b>	<b>6.0</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	6.0	6.0
<b>Fund Source Total</b>	<b>6.0</b>	<b>6.0</b>
<hr/>		
Personal Services	300.2	346.6
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>300.2</b>	<b>346.6</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	300.2	346.6
<b>Fund Source Total</b>	<b>300.2</b>	<b>346.6</b>
<hr/>		
Employee Related Expenses	109.4	131.4
<b>Expenditure Category Total</b>	<b>109.4</b>	<b>131.4</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	109.4	131.4
<b>Fund Source Total</b>	<b>109.4</b>	<b>131.4</b>
<hr/>		
Professional and Outside Services		16.1
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	8.5	
Attorney General Legal Services	1.4	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Automobile Theft Authority</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>9.9</b>	<b>16.1</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	9.9	16.1
<b>Fund Source Total</b>	<b>9.9</b>	<b>16.1</b>
<hr/>		
Travel In-State	3.3	6.2
<b>Expenditure Category Total</b>	<b>3.3</b>	<b>6.2</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	3.3	6.2
<b>Fund Source Total</b>	<b>3.3</b>	<b>6.2</b>
<hr/>		
Travel Out of State	2.6	3.8
<b>Expenditure Category Total</b>	<b>2.6</b>	<b>3.8</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	2.6	3.8
<b>Fund Source Total</b>	<b>2.6</b>	<b>3.8</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		117.5
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	3.1	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Automobile Theft Authority</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	8.5	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.6	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	8.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	44.6	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.2	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.5	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	3.6	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	1.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.6	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Automobile Theft Authority</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.9	
Other Education And Training Costs	0.0	
Advertising	30.0	
Sponsorships	0.0	
Internal Printing	1.1	
External Printing	1.3	
Photography	0.0	
Postage And Delivery	0.8	
Document shredding and Destruction Services	0.1	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	1.5	
Entertainment And Promotional Items	5.2	
Dues	0.7	
Books- Subscriptions And Publications	1.5	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.7	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>Automobile Theft Authority</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>114.5</b>	<b>117.5</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	114.5	117.5
<b>Fund Source Total</b>	<b>114.5</b>	<b>117.5</b>

Current Year Expenditures		25.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.1	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	Automobile Theft Authority

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.1</b>	<b>25.0</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	0.1	25.0
<b>Fund Source Total</b>	<b>0.1</b>	<b>25.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	86.7	27.1
<b>Expenditure Category Total</b>	<b>86.7</b>	<b>27.1</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	86.7	25.7
	<b>86.7</b>	<b>25.7</b>
<b>Non-Appropriated</b>		
ID1991-N Auto Theft SIMS Settlement (Non-Appropriated)	0.0	1.4
<b>Fund Source Total</b>	<b>0.0</b>	<b>1.4</b>
	<b>86.7</b>	<b>27.1</b>

Employee Retirement Coverage			
	FTE	Personal Services	Fund#
<b>Retirement System</b>			
Arizona State Retirement System	4.0	242.7	ID2060-A
PSPRS – return to work	1.0	103.9	ID2060-A
Non-Participating	1.0	0.0	ID2060-A
<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$142,800</b>			
<b>Total FTE</b>	0.0	0.0	0.0
<b>Personal Services</b>		0.0	
<b>FTE's not eligible for Health, Dental &amp; Life</b>			0.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	SLI ATA Reimbursable Programs

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	25.0	0.0	25.0
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	25.0	0.0	25.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	0.0	50.0	0.0	50.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
ID2060-A Automobile Theft Authority Fund (Appropriated)	0.0	50.0	0.0	50.0
	0.0	50.0	0.0	50.0
<b>Fund Source Total:</b>				
	0.0	50.0	0.0	50.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	SLI ATA Reimbursable Programs				
<b>Fund:</b>	ID2060-A Automobile Theft Authority Fund				
	<b>Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	25.0	0.0	25.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	25.0	0.0	25.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Appropriated Total:</b>	0.0	50.0	0.0	50.0
	<b>Fund Total:</b>	0.0	50.0	0.0	50.0
	<b>Program Total For Selected Funds:</b>	0.0	50.0	0.0	50.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Reimbursable Programs</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Employee Related Expenses	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	25.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Reimbursable Programs</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>25.0</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	0.0	25.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>25.0</b>

---

Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Reimbursable Programs</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Reimbursable Programs</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

		25.0
Current Year Expenditures		
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Reimbursable Programs</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>25.0</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	0.0	25.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>25.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	SLI ATA Vehicle Theft Task Force

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
0000 FTE	0.0	0.0	0.0	0.0
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	3,650.0	3,650.0	433.7	4,083.7
<b>Expenditure Categories Total:</b>	3,650.0	3,650.0	433.7	4,083.7
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
ID2060-A Automobile Theft Authority Fund (Appropriated)	3,650.0	3,650.0	433.7	4,083.7
	3,650.0	3,650.0	433.7	4,083.7
<b>Fund Source Total:</b>	3,650.0	3,650.0	433.7	4,083.7

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	SLI ATA Vehicle Theft Task Force				
<b>Fund:</b>	ID2060-A Automobile Theft Authority Fund				
<b>Appropriated</b>					
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	3,650.0	3,650.0	433.7	4,083.7
<b>Appropriated Total:</b>		3,650.0	3,650.0	433.7	4,083.7
<b>Fund Total:</b>		3,650.0	3,650.0	433.7	4,083.7
<b>Program Total For Selected Funds:</b>		3,650.0	3,650.0	433.7	4,083.7

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Vehicle Theft Task Force</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Employee Related Expenses	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Vehicle Theft Task Force</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Vehicle Theft Task Force</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Vehicle Theft Task Force</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI ATA Vehicle Theft Task Force</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	3,650.0	3,650.0
<b>Expenditure Category Total</b>	<b>3,650.0</b>	<b>3,650.0</b>
<hr/>		
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	3,650.0	3,650.0
<b>Fund Source Total</b>	<b>3,650.0</b>	<b>3,650.0</b>



## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance Financial Institutions
<b>Program:</b>	SLI Local Grants

Expenditure Categories	FY 2020 Actual	FY 2021 Expd. Plan	FY 2022 Fund. Issue	FY 2022 Total Request
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	943.4	957.7	0.0	957.7
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	14.0	0.0	0.0	0.0
<hr/>				
<b>Expenditure Categories Total:</b>	957.4	957.7	0.0	957.7
<hr/>				
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
ID2060-A Automobile Theft Authority Fund (Appropriated)	957.4	957.7	0.0	957.7
<hr/>				
	957.4	957.7	0.0	957.7
<hr/>				
<b>Fund Source Total:</b>	957.4	957.7	0.0	957.7

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance Financial Institutions				
	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2022</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	SLI Local Grants				
<b>Fund:</b>	ID2060-A Automobile Theft Authority Fund				
	<b>Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	943.4	957.7	0.0	957.7
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	14.0	0.0	0.0	0.0
	<b>Appropriated Total:</b>	957.4	957.7	0.0	957.7
	<b>Fund Total:</b>	957.4	957.7	0.0	957.7
	<b>Program Total For Selected Funds:</b>	957.4	957.7	0.0	957.7

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI Local Grants</b>

	FY 2020 Actual	FY 2021 Expd. Plan
FTE	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Employee Related Expenses	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	943.4	957.7

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI Local Grants</b>

	FY 2020 Actual	FY 2021 Expd. Plan
<b>Expenditure Category Total</b>	<b>943.4</b>	<b>957.7</b>
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	943.4	957.7
<b>Fund Source Total</b>	<b>943.4</b>	<b>957.7</b>

Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI Local Grants</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI Local Grants</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

		0.0
Current Year Expenditures		
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance Financial Institutions</b>
<b>Program:</b>	<b>SLI Local Grants</b>

	FY 2020 Actual	FY 2021 Expd. Plan
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	14.0	0.0
<b>Expenditure Category Total</b>	<b>14.0</b>	<b>0.0</b>
<hr/>		
<b>Appropriated</b>		
ID2060-A Automobile Theft Authority Fund (Appropriated)	14.0	0.0
<b>Fund Source Total</b>	<b>14.0</b>	<b>0.0</b>

## Administrative Costs

**Agency:** Department of Insurance Financial Institutions

### Administrative Costs Summary

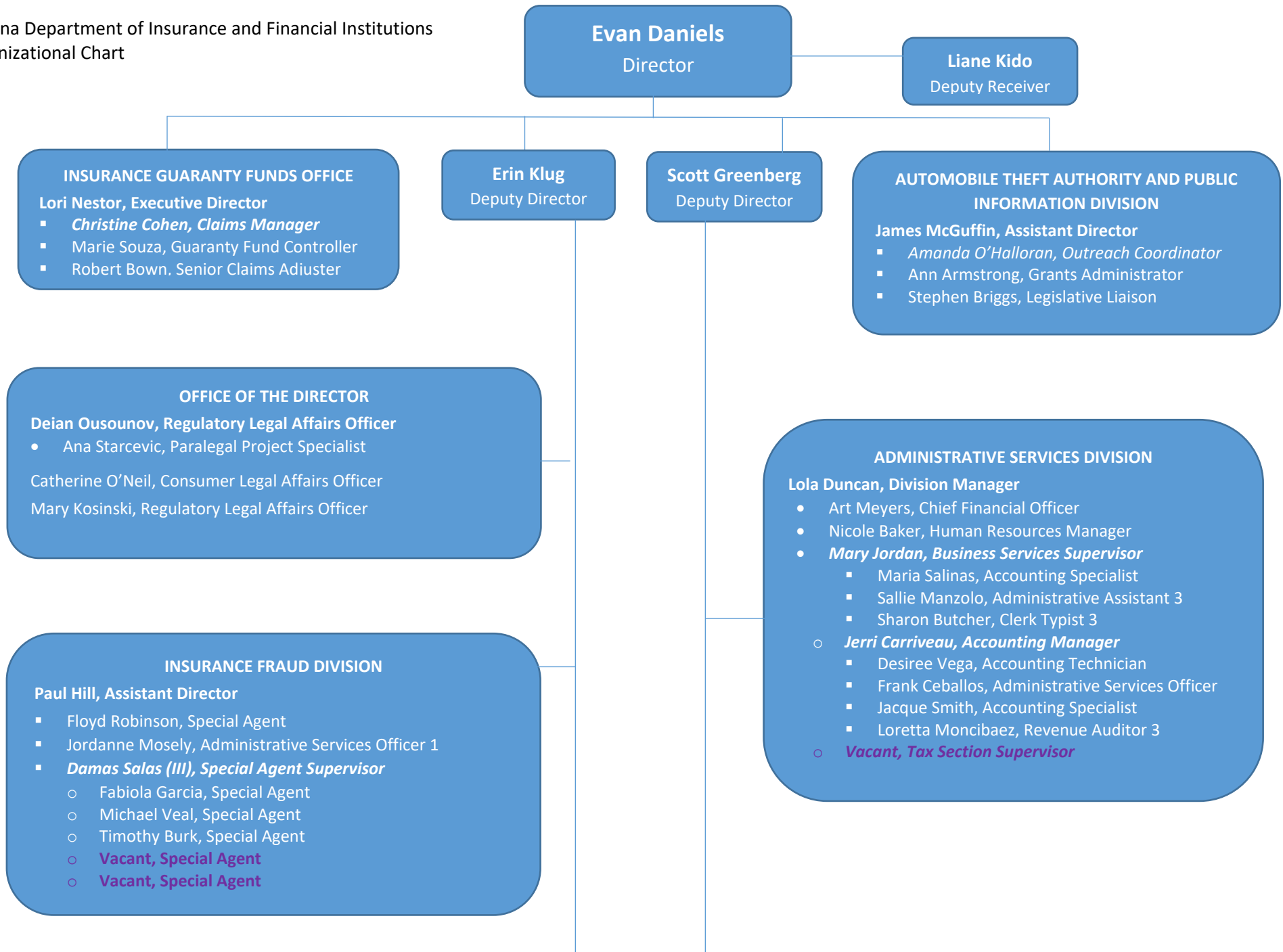
Common Administrative Area	FY 2021
Personal Services	1,210.0
ERE	532.7
All Other	1,083.9
<b>Administrative Costs Total:</b>	<b>2,826.6</b>

### Administrative Cost / Total Expenditure Ratio

	Request	Admin %
FY 2021	22,314.7	12.7%



Arizona Department of Insurance and Financial Institutions  
Organizational Chart



**Erin Klug**  
Deputy Director

**Scott Greenberg**  
Deputy Director

**PRODUCT FILING AND COMPLIANCE DIVISION**

**Erin Klug, Assistant Director**

- Steven Noble, Administrative Assistant 3
- **Mary Boatright, Program Administrator III**
  - Stacy Coleman, Senior Insurance Analyst
  - Sterling Gavette, HCSO Compliance Administrator
  - Steven Fekety, Senior Insurance Analyst
  - Tom Boston, Senior Insurance Analyst
  - Vanessa Darrah, Senior Insurance Analyst
  - **Vacant, Sr Insurance Analyst**
  - **Vacant, Mental Health Program Administrator**
- **Tom Zuppan, Property/Casualty Insurance Analyst Supervisor**
  - Brooke Lovallo, Senior Insurance Analyst

**MARKET REGULATION AND CONSUMER SERVICES DIVISION**

**Maria Ailor, Assistant Director**

- Sharyn Kerr, Administrative Assistant 3
- **Gloria Barnes-Jackson, Consumer Assistance Section Supervisor**
  - Brianna Martinez, Insurance Analyst
  - Dawn Lucero, Insurance Analyst
  - Deborah Claw, Insurance Analyst
  - Gary Henton, Insurance Analyst
  - Lari Snodgrass, Insurance Analyst
- **Sarah Borunda, Insurance Analyst Supervisor**
  - Cheryl Hawley, Senior Insurance Analyst
  - Delon Price, ID Program Administrator

**INFORMATION TECHNOLOGIES DIVISION**

**Clarence Leiner, Chief Information Officer, Senior/Lead Applications Developer**

- John Kittelsrud, Systems/LAN Administrator
- Susan Zimmerman, Database Manager**
  - Dave Lovallo, System/LAN Administrator

**CREDIT UNION DIVISION**

**Marie Corral, Division Manager**

- Brian Naig, Financial Institutions Examiner, Sr
- Fernando Dominguez, Financial Institutions Examiner, Jrny
- Layla Oropeza, Financial Institutions Examiner, Jrny
- Michael Bell, Financial Institutions Examiner, Jrny
- Milalona Bordelon, Financial Institutions Examiner, Entry

**BANK AND TRUST DIVISION**

**Greg Dunn, Division Manager**

- Annette Krenz, Administrative Assistant 3
- Gordon Macaw, Financial Institutions Examiner, Jrny
- Ryan Mach, Financial Institutions Examiner, Sr
- Sandra Mills, Financial Institutions Examiner, Entry
- Sarah Haley, Financial Institutions Examiner, Entry
- **Vacant, Financial Institutions Examiner, Sr**
- **Vacant, Financial Institutions Examiner, Sr**

Erin Klug  
Deputy Director

Scott Greenberg  
Deputy Director

### INSURANCE FINANCIAL AFFAIRS DIVISION

#### Kurt Regner, Assistant Director

- Richard Johnson, Executive Consultant 1
- Robert Kell, Actuary
- Scott Persten, Chief Financial Analyst
- **Cary Cook, Administrative Services Officer 4**
  - Anthony McCormack, Administrative Assistant 3
  - Christopher Ochoa, Administrative Assistant 1
  - Erica Bowsher, Insurance Analyst
  - Francine Martinez, Administrative Assistant 3
- **David Lee, Chief Financial Examiner**
  - Alan Walters, Financial Analyst 3
  - Brandon Schwartz, Financial Analyst 1
  - David Lathrop, Financial Analyst 3
  - John Butler, Financial Analyst 1
  - Julie Bond, Financial Affairs Coordinator
  - Kathy Schmidt, Program Administrator
  - L. Tami Posey, Financial Analyst 2
  - **Vacant, Financial Analyst**
- **Vincent Gosz, Specialty Insurer Examinations Mgr**
  - Rae Ann Hughes, Financial Analyst 2

### LICENSING DIVISION/ENFORCEMENT/HCA

#### Steven Fromholtz, Licensing Division Manager

- Linda Lutz, Legal Assistant 2
- **Wendy Greenwood, Investigations Supervisor 2**
  - Alexis Ortiz, Investigator 3
  - Jeffrey Eavenson, Investigator 3
- **Aqueelah Currie, Insurance Licensing Supervisor, Administrative Services Officer 1**
  - Cameron Frerichs, Customer Service Representative 2
  - Nita Ferguson, Customer Service Representative 2
  - Victoria Chavez, Customer Service Representative 2
  - **Vacant, Customer Service Representative 2**
- **Olyver Quinlin, Financial Institutions Licensing Supervisor, Administrative Services Officer 1**
  - Anthony Ybanez, Customer Service Representative 2
  - Jessica Sapio, Administrative Assistant 3
  - Teresita Brooks, Customer Service Representative 2
  - **Vacant, Customer Service Representative 2**
- **Michelle Castaneda, Financial Institutions Licensing Supervisor, Administrative Services Officer 1**
  - Bree Madison, Customer Service Representative 2
  - Cassandra Diggs, Customer Service Representative 2
  - Mary Cisneros, Customer Service Representative 2
  - Sophie Gonzalez, Customer Service Representative 2
  - Timothy Romero, Customer Service Representative 2
- **Audrey Franklin, Health Care Appeals Administrator**
  - Jeanette Villines, Insurance Analyst

**Scott Greenberg**  
Deputy Director

**MORTGAGE LENDING DIVISION**

**Gabriela Macias, Division Manager**

- Carol Foster, Financial Institutions Examiner, Sr
- Erika Redd, Financial Institutions Examiner, Entry
- Jessica Richelieu, Financial Institutions Examiner, Jrny
- Liliana Larios, Financial Institutions Examiner, Jrny
- Marilyn Alexander, Financial Institutions Examiner, Sr
- Richard Fergus, Financial Institutions Examiner, Sr
- Sabrina Showers, Financial Institutions Examiner, Jrny
- Vanessa Duarte, Financial Institutions Examiner, Jrny
- **Vacant, Financial Institutions Examiner, Jrny**
- **Vacant, Financial Institutions Examiner, Jrny**

**FINANCIAL SERVICES DIVISION/CONSUMER AFFAIRS**

**Tammy Seto, Division Manager**

- Amber Eutsey, Financial Institutions Examiner, Jrny
- Beth Thompson, Financial Institutions Examiner, Jrny
- Beverly Andrews, Administrative Assistant 3
- Jay DeArrastia, Financial Institutions Examiner, Entry
- Kelly Lutejin, Appraisal Auditor III
- Linda Beatty, Special Services Administrator
- Natalia Ramirez, Financial Institutions Examiner, Sr
- Rachel Dennis, Financial Institutions Examiner, Sr
- Richard Traveler, Financial Institutions Examiner, Jrny
- Ronald Doba, Financial Institutions Examiner, Sr
- Tawnya Webel, Financial Institutions Examiner, Sr
- Travis Thompson, Financial Institutions Examiner, Sr
- Tyffani Lowery, Financial Institutions Examiner, Jrny