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STATE OF ARIZONA

DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

In the Matter of:

No. 21A- 097 -INS

ALEXANDER GLEN SCOTT
(National Producer No. 19441662)

CONSENT ORDER

Respondent.

The Arizona Department of Insurance and Financial Institutions (“Department”) has received evidence that Alexander G. Scott (“Respondent”) violated provisions of Title 20, Arizona Revised Statutes (“A.R.S.”). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admit the following Findings of Fact are true, and consent to the entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. On February 18, 2020, the Department issued to Respondent an Arizona resident insurance producer license with lines of authority in life and accident and health or sickness insurance, National Producer Number 19441662. Respondent’s license is scheduled to expire on July 31, 2023.

2. Respondent’s mailing address of record with the Department is C/O Efinancial Licensing 13810 SE Eastgate Way, Suite 300, Bellevue, Washington 98005 and his business address of record is 1721 W Greentree Drive, Suite 101, Tempe, Arizona 85284-2707. Respondent’s business e-mail address is licensing@efinancial.com.

1 11. Grounds exist for the Director to suspend, revoke, or refuse to renew Respondent's
2 insurance license pursuant to A.R.S. § 20-295(A)(1).

3 12. Grounds exist, in addition to or instead of any suspension or revocation, for the
4 Director to impose a civil penalty of not more than \$250.00 for each unintentional failure or
5 violation up to an aggregate civil penalty of \$2,500.00, or impose a civil penalty of not more than
6 \$2,500.00 for each intentional failure or violation, up to an aggregate civil penalty of \$15,000.00,
7 within the meaning of A.R.S. § 20-295(F).

8 **ORDER**

9 IT IS HEREBY ORDERED THAT:

10 **Alexander G. Scott** pays to the Department civil money penalty in the amount of two
11 hundred fifty dollars (\$250.00).

12 Effective this 20th day of December, 2021.

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Evan G. Daniels
15 Director
Arizona Department of Insurance and Financial Institutions

16 **CONSENT TO ORDER**

17 1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law and
18 Order.

19 2. Respondent admits to the jurisdiction of the Director of the Arizona Department of
20 Insurance and Financial Institutions and admits the foregoing Findings of Fact and consents to
21 the entry of the foregoing Conclusions of Law and Order.
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1 3. Respondent is aware of her right to notice and to a hearing, at which he may be
2 represented by counsel, present evidence and examine witnesses.

3 4. Respondent irrevocably waives her right to such notice and hearing and to any court
4 appeals relating to this Consent Order.

5 5. Respondent states that no promise of any kind or nature whatsoever, except as
6 expressly contained in this Consent Order, was made to induce her to enter into this Consent
7 Order and that he has entered into this Consent Order voluntarily.

8 6. Respondent acknowledges and agrees that the acceptance of this Consent Order by
9 the Director is solely to settle this matter and does not preclude the Department from instituting
10 other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding
11 any language in this Consent Order, this Consent Order does not preclude in any way any other
12 state agency or officer or political subdivision of this state from instituting proceedings,
13 investigating claims, or taking legal action as may be appropriate now or in the future relating to
14 this matter or other matters concerning Respondent, including but not limited to violations of
15 Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the
16 Department, this Consent Order makes no representations, implied or otherwise, about the views
17 or intended actions of any other state agency or officer or political subdivision of the state relating
18 to this matter or other matters concerning Respondent.

19 7. Respondent acknowledges that his Consent Order is an administrative action that
20 the Department will report to the National Association of Insurance Commissioners (NAIC).
21 Respondent further acknowledges that he must report this administrative action to any and all
22

1 states in which he holds an insurance license and must disclose this administrative action on any
2 license application.

3 8. Respondent waives all rights to seek an administrative or judicial review or
4 otherwise to challenge or contest the validity of this Consent Order and its accompanying parts
5 before any court of competent jurisdiction.

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12/1/2021

Alexander Scott

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Date

Alexander G. Scott

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COPY of the foregoing delivered by e-mail
this 21st day of December, 2021, to:

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Alexander G. Scott
C/O Efinancial Licensing
13810 SE Eastgate Way, Suite 300
Bellevue, Washington 98005
licensing@efinancial.com

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Respondent

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COPY of the foregoing delivered/e-mailed same date to:

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Deian Ousounov, Regulatory Legal Affairs Officer
Ana Starcevic, Paralegal Project Specialist
Steven Fromholtz, Assistant Director for Consumer Protection
Aqueelah Currie, Licensing Supervisor
Linda Lutz, Legal Assistant
Arizona Department of Insurance and Financial Institutions
100 North 15th Avenue, Suite 261
Phoenix, Arizona 85007

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Francine Juarez

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