

STATE OF ARIZONA

DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

In the Matter of:

No. 21A-074-INS

SHALONDA LITTLE

CONSENT ORDER

Petitioner.

The Arizona Department of Insurance and Financial Institutions (“Department”) has received evidence that **Shalonda Little** (“**Petitioner**”) violated provisions of Title 20, Arizona Revised Statutes (“A.R.S”). Petitioner wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true, and consents to the entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. On August 26, 2021, Petitioner applied for a non-resident insurance producer license through the National Insurance Producer Registry (the “Application”).

2. In the Application, under Background Questions, Petitioner answered “No” to Question Number 2, which asks, “Have you ever been named or involved^[1] as a party in an administrative proceeding, including FINRA sanction or arbitration proceeding regarding

¹ “Involved” means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation, sanctioned or surrendering a license to resolve an administrative action. ‘Involved’ also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license, or registration. ‘Involved’ also means having a license, or registration application denied or the act of withdrawing an application to avoid a denial.”

1 any professional or occupational license or registration? ... If you answer yes, you must
2 attach to this application: a) a written statement identifying the type of license and
3 explaining the circumstances of each incident, b) a copy of the Notice of Hearing or other
4 document that states the charges and allegations, and c) a copy of the official document,
5 which demonstrates the resolution of the charges or any final judgment.”

6 3. Little answered “Yes” to the Attestation section of the Application.

7 4. Petitioner failed to disclose to the Department that on or about March 13,
8 2013, the Utah Insurance Department ordered the revocation of Little’s insurance license.

9 5. On September 2, 2021, the Department denied Little’s application for a non-
10 resident insurance producer license.

11 6. On September 10, 2021, Little timely appealed the Department’s denial.

12 **CONCLUSIONS OF LAW**

13 8. The Director of the Department (“Director”) has jurisdiction over this matter.

14 9. Petitioner’s conduct, as described above, constitutes providing incorrect,
15 misleading, incomplete or materially untrue information in the license application, within the
16 meaning of A.R.S. § 20-295(A)(1).

17 10. Grounds exist for the Director to deny an insurance producer license
18 application or impose a civil penalty, within the meaning of A.R.S. § 20-295.

19 11. Grounds exist, in addition to or instead of any suspension or revocation, for the
20 Director to impose a civil penalty of not more than \$250.00 for each unintentional failure or
21 violation up to an aggregate civil penalty of \$2,500.00, or impose a civil penalty of not more
22 than \$2,500.00 for each intentional failure or violation, up to an aggregate civil penalty of

1 \$15,000.00, within the meaning of A.R.S. § 20-295(F).

2 **ORDER**

3 IT IS HEREBY ORDERED THAT:

4 **Shalonda Little** shall immediately pay a civil penalty in the amount of one hundred
5 twenty dollars (\$120.00).

6 Effective this 5th day of November, 2021.

7 

8 _____
9 Evan G. Daniels, Director
Arizona Department of Insurance and Financial Institutions

10 **CONSENT TO ORDER**

11 1. Petitioner has reviewed the foregoing Findings of Fact, Conclusions of Law
12 and Order.

13 2. Petitioner admits to the jurisdiction of the Director of the Arizona Department
14 of Insurance and Financial Institutions and admits the foregoing Findings of Fact and
15 consent to the entry of the foregoing Conclusions of Law and Order.

16 3. Petitioner is aware of her right to notice and to a hearing, at which she may be
17 represented by counsel, present evidence and examine witnesses.

18 4. Petitioner irrevocably waives her right to such notice and hearing and to any
19 court appeals relating to this Consent Order.

20 5. Petitioner states that no promise of any kind or nature whatsoever, except as
21 expressly contained in this Consent Order, was made to induce her to enter into this Consent
22 Order and that she has entered into this Consent Order voluntarily.

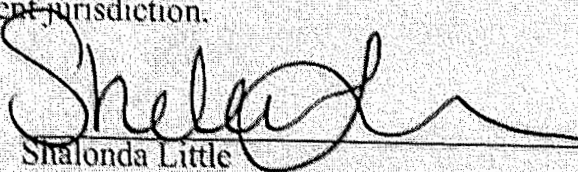
1 6. Petitioner acknowledges and agrees that the acceptance of this Consent Order
2 by the Director is solely to settle this matter and does not preclude the Department from
3 instituting other proceedings as may be appropriate now or in the future. Furthermore, and
4 notwithstanding any language in this Consent Order, this Consent Order does not preclude in
5 any way any other state agency or officer or political subdivision of this state from instituting
6 proceedings, investigating claims, or taking legal action as may be appropriate now or in the
7 future relating to this matter or other matters concerning the Petitioner, including but not
8 limited to violations of Arizona's Consumer Fraud Act. Petitioner acknowledges that, other
9 than with respect to the Department, this Consent Order makes no representations, implied or
10 otherwise, about the views or intended actions of any other state agency or officer or political
11 subdivision of the state relating to this matter or other matters concerning the Petitioner.

12 7. Petitioner acknowledges that this Consent Order is an administrative action
13 that the Department will report to the National Association of Insurance Commissioners
14 (NAIC). Petitioner further acknowledges that she must report this administrative action to
15 any and all states in which she holds an insurance license and must disclose this
16 administrative action on any license application.

17 8. Petitioner waives all rights to seek an administrative or judicial review or
18 otherwise to challenge or contest the validity of this Consent Order and its accompanying
19 parts before any court of competent jurisdiction.

20 10.23.21

21 Date


Shalonda Little

22

1 **COPY** of the foregoing delivered by E-mail
this 8th day of November, 2021, to:

2 Shalonda Little
3 7181 Hiawassee Oak Dr.
Orlando, FL 32818
4 Shalonda.Little@libertymutual.com
Petitioner

5 **COPY** of the foregoing delivered/emailed same date, to:

6 Deian Ousounov, Regulatory Legal Affairs Officer
Ana Starcevic, Paralegal Project Specialist
7 Steven Fromholtz, Assistant Director for Consumer Protection
Aqueelah Currie, Licensing Supervisor
8 Arizona Department of Insurance and Financial Institutions
100 North 15th Avenue, Suite 261
Phoenix, Arizona 85007

9 Holly Wan, Assistant Attorney General
10 AdminLaw@azag.gov
Attorney for the Department

11 Francine Juarez

12

Francine Juarez

13
14
15
16
17
18
19
20
21
22